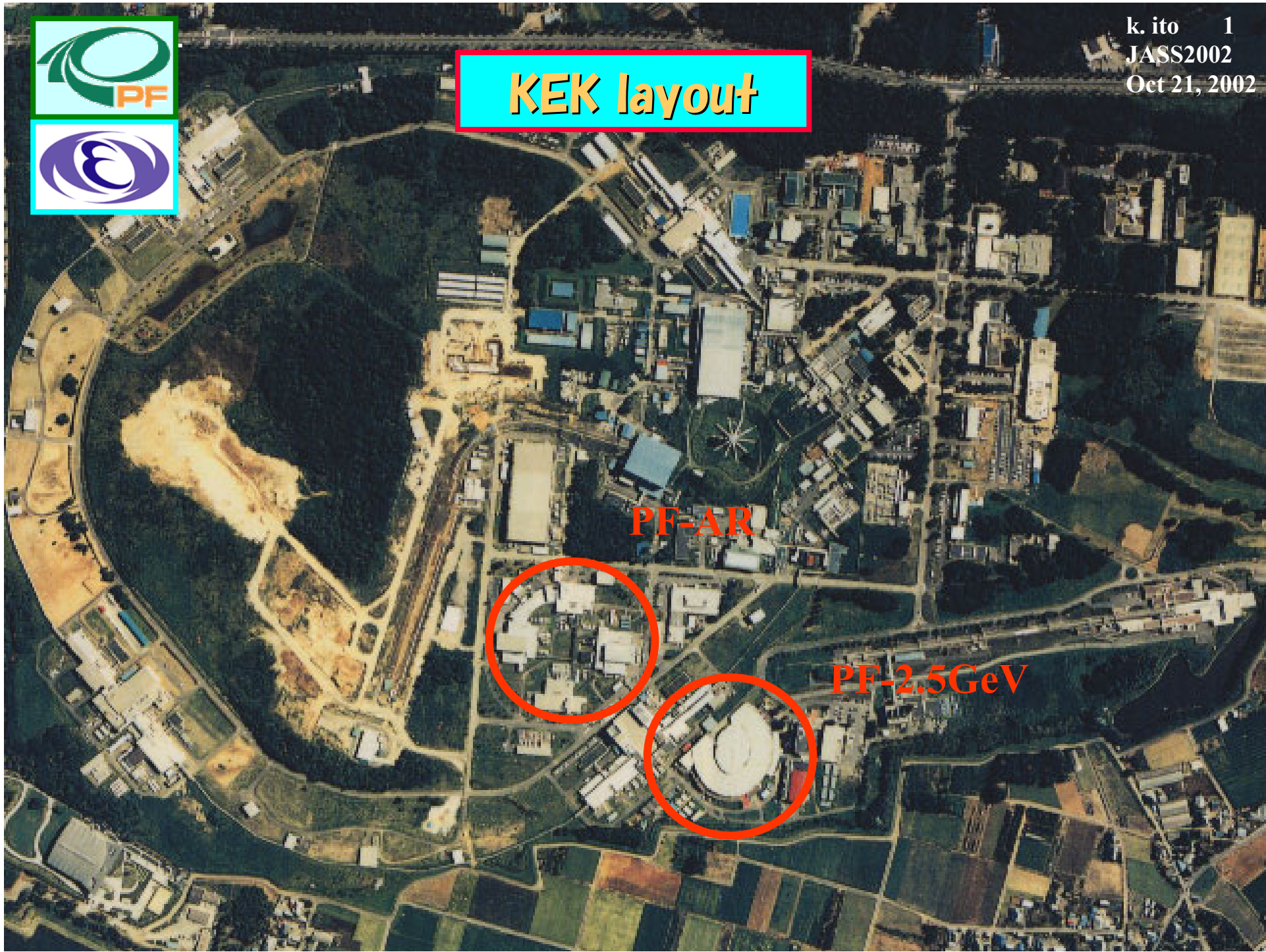




# KEK layout



PF-AR

PF-2.5GeV

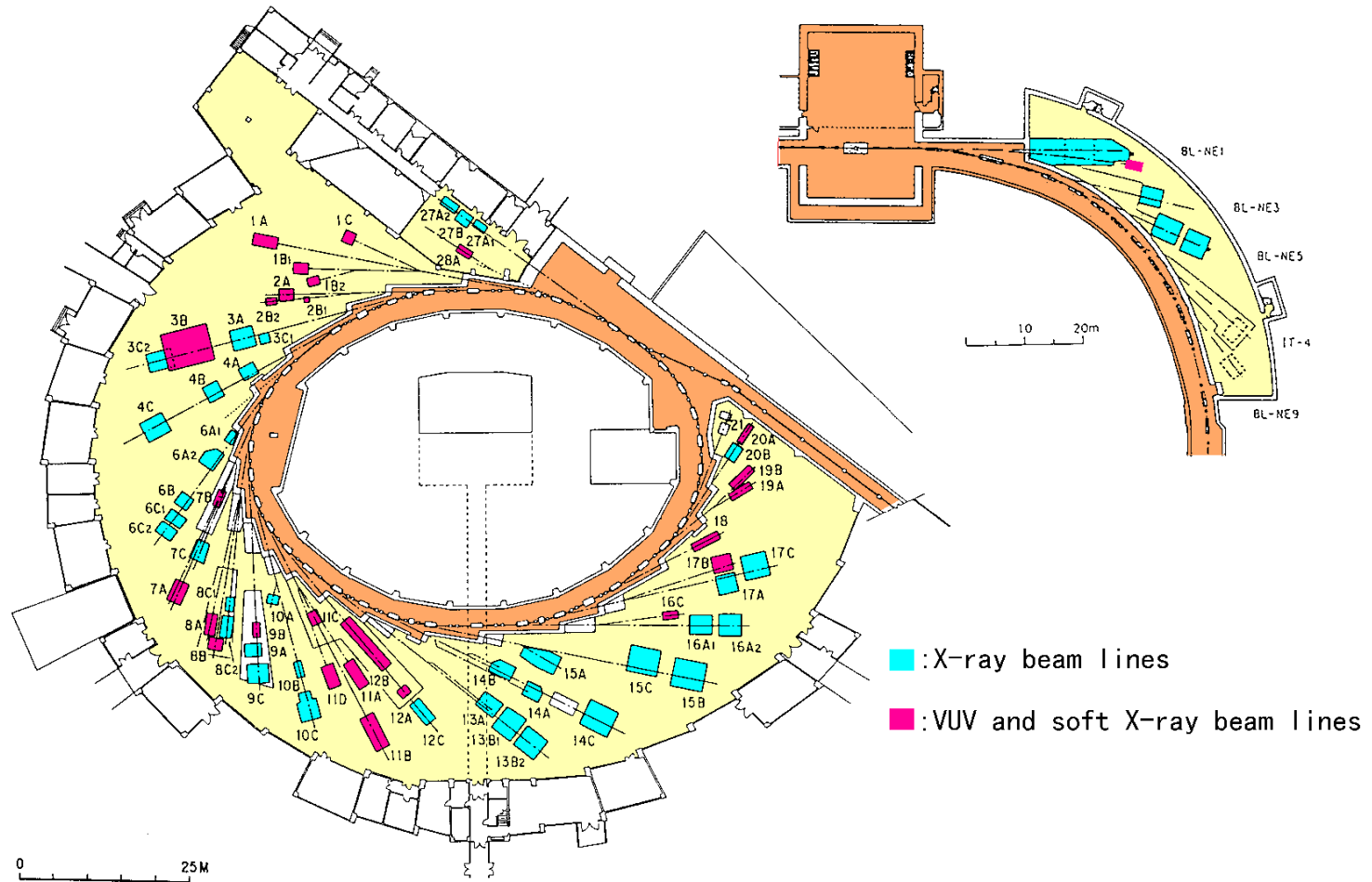


# Layout of the Photon Factory

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PF 2.5GeV Ring and Beam Lines

PF-AR (6.5GeV) Beam Lines





# Synchrotron radiation beamlines in the vacuum ultraviolet and soft X-ray region

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## Introduction

### Optical elements

**mirrors** geometrical shape  
reflectivity

**grating** basic understanding  
geometrical optics → ray tracing  
varied-line spacing grating

### Monochromators

normal incidence type  
grazing incidence type

### Summary



## *What is the role of beamlines for SR usage?*

- 1) conducting SR from the storage ring to the experimental stations
- 2) shaping SR beam, **spatially** and **energetically**, to meet the experimental requirements



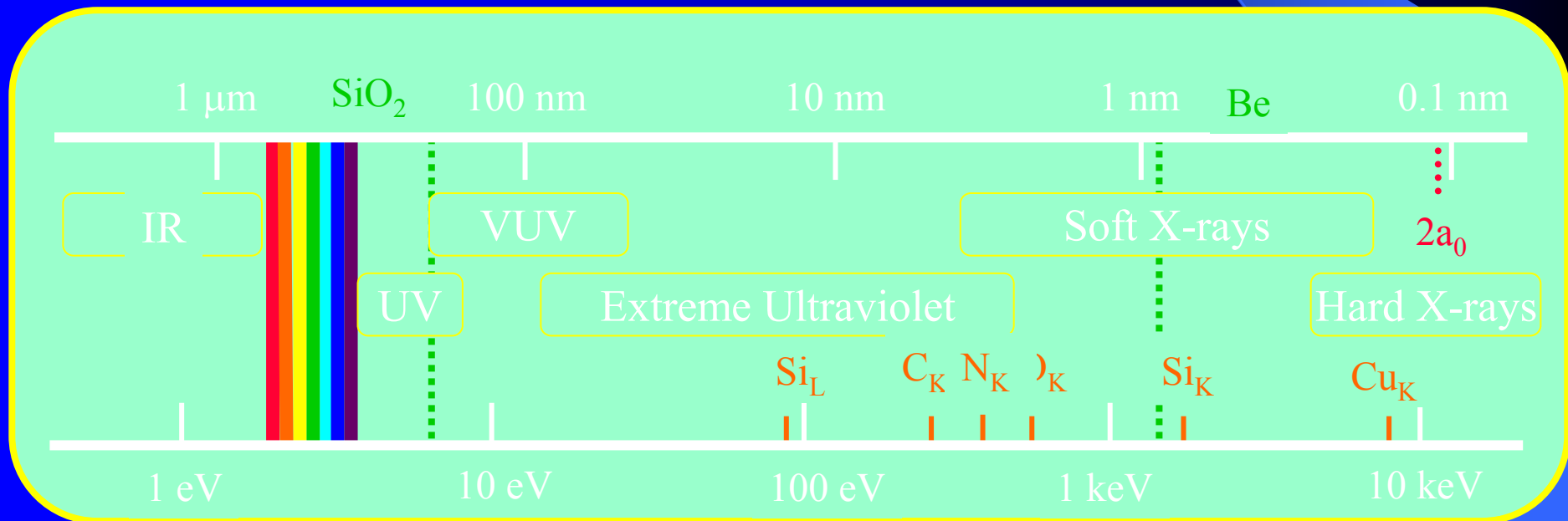
## Definition of VUV and SX

VUV: vacuum ultraviolet

EUV: extreme ultraviolet

SX: soft X-ray

VUV-SX photons cannot propagate in the atmosphere!!!



D. Attwood, "Soft X-rays and extreme ultraviolet radiation" (1999)

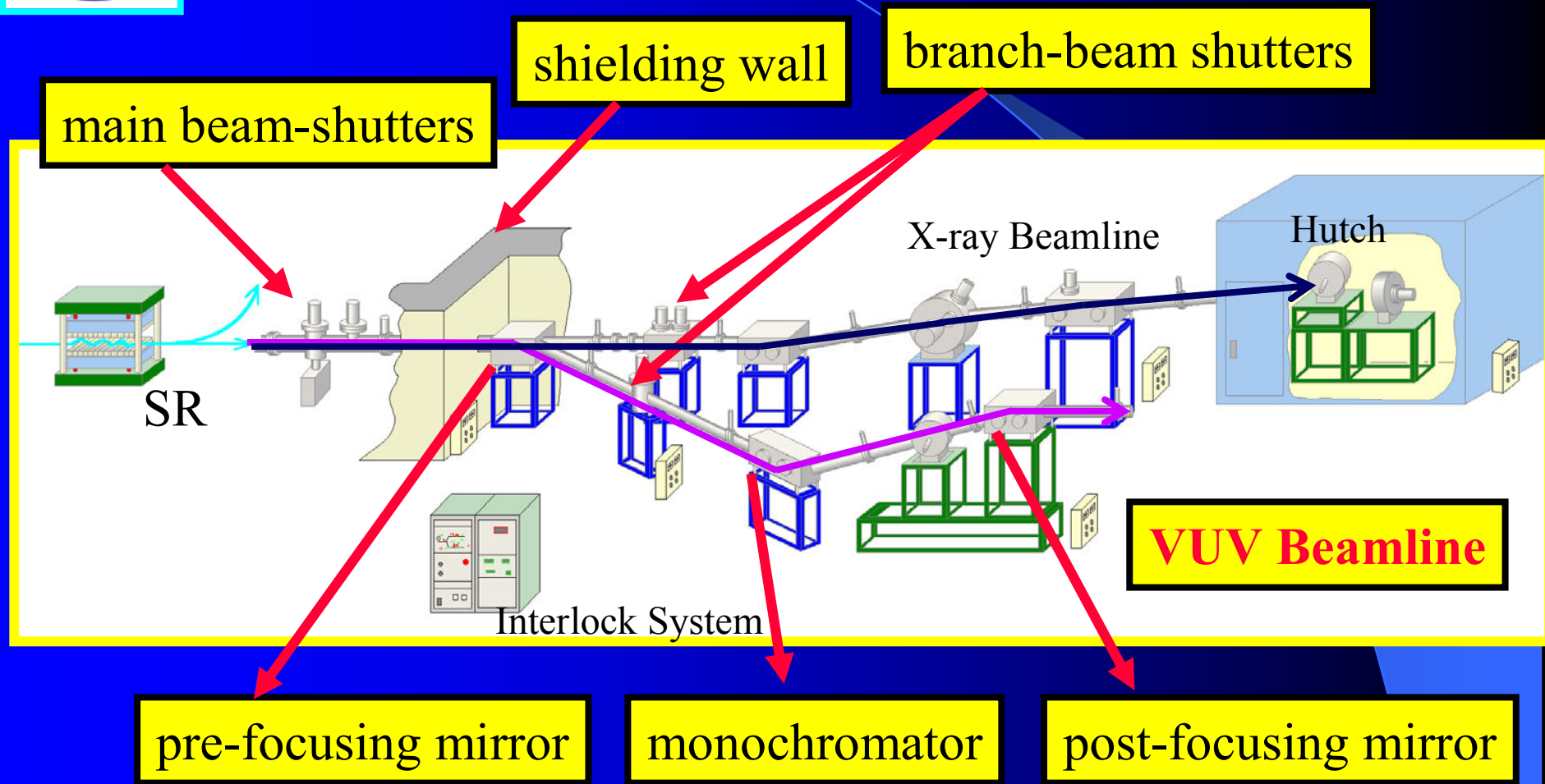


## **VUV-SX beamlines must be kept at ultra-high vacuum (UHV)**

- 1) To facilitate the propagation of the VUV-SX photons
- 2) Not to disturb the storage ring  
**no mechanically-rigid window is available!!!**
- 3) To protect the optical elements from contamination,  
**oil-free primary pumps are recommended!!!**



# Layout of a typical beamline





# Construction of a VUV-SX beamline

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What kinds of measurements are required?

Photon energy range  
Photon flux  
Beam size  
Photon band width  
Polarization  
Purity  
Coherence

## Beamline optics

pre-focusing mirrors  
monochromator  
post-focusing mirrors

## Light source

bending magnet  
undulator  
multipole wiggler

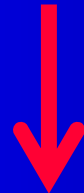
This procedure does not work for a multipurpose beamline.





## **Optical elements used in the VUV-SX beamlines**

- 1) **reflection mirrors** as a focussing tool
- 2) **diffraction gratings**, zone plates, multi-layered mirrors, filters and crystals as dispersion tools



**monochromators** as a beamline system



## Mirrors for SR use

- 1) focusing of VUV-SX light by various shapes of mirror:  
sphere, cylinder, parabola, paraboloid, ellipse, ellipsoid, toroid, etc
- 2) for better reflectivity in the VUV-SX region:  
substrate: SiC, Si, SiO<sub>2</sub>, metal, other glass  
coating materials: Au, Pt, Os,...

with modern technology:

1-m long mirrors available

surface roughness < 0.5 nm in rms

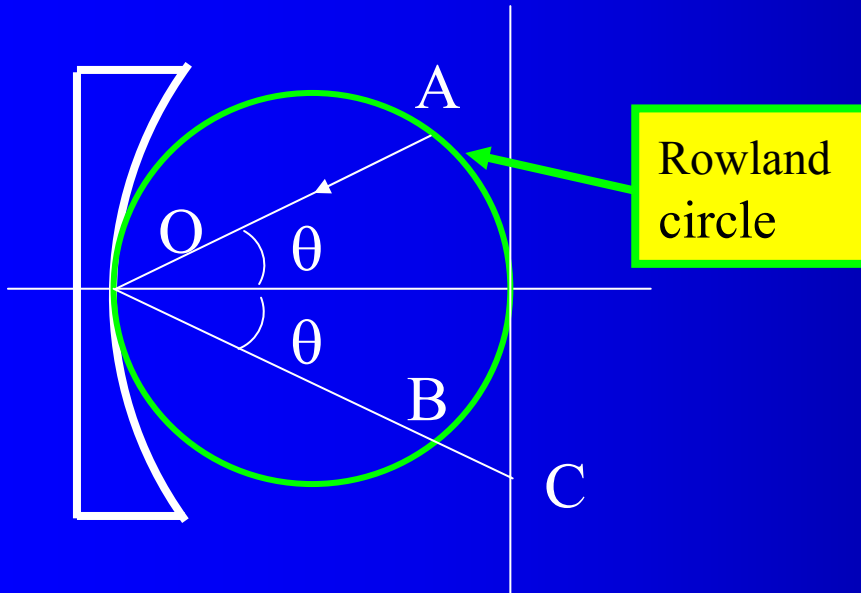
slope error < 1  $\mu$ rad  $\rightarrow$  beamspot size



# Focusing mirrors of spherical shape



## Astigmatism of spherical mirror

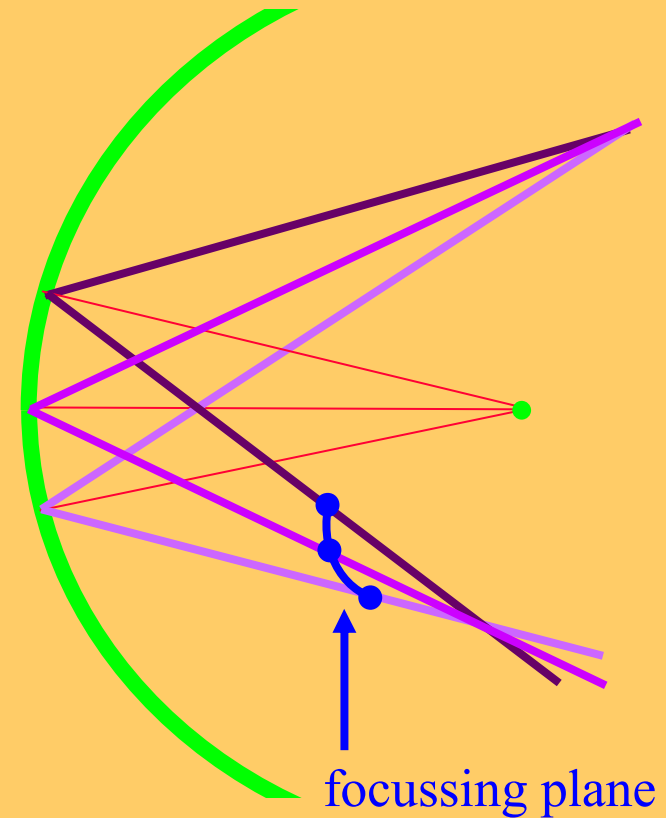


$$AO = r \quad OB = r_t \quad OC = r_s$$

$$\frac{1}{r} + \frac{1}{r_t} = \frac{2}{R \cos \theta}$$

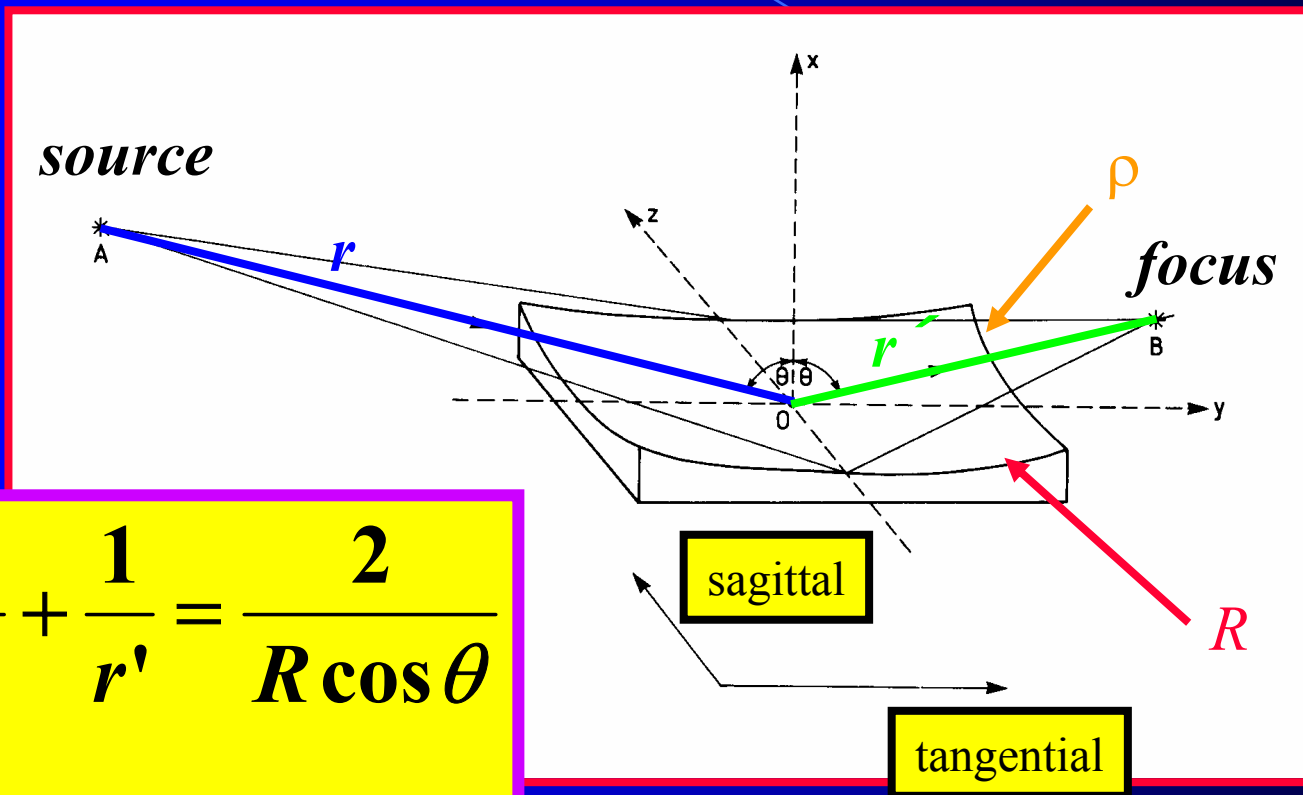
$$\frac{1}{r} + \frac{1}{r_s} = \frac{2 \cos \theta}{R}$$

## Aberration of spherical mirror





# To avoid astigmatism: Focusing mirrors of toroidal shape

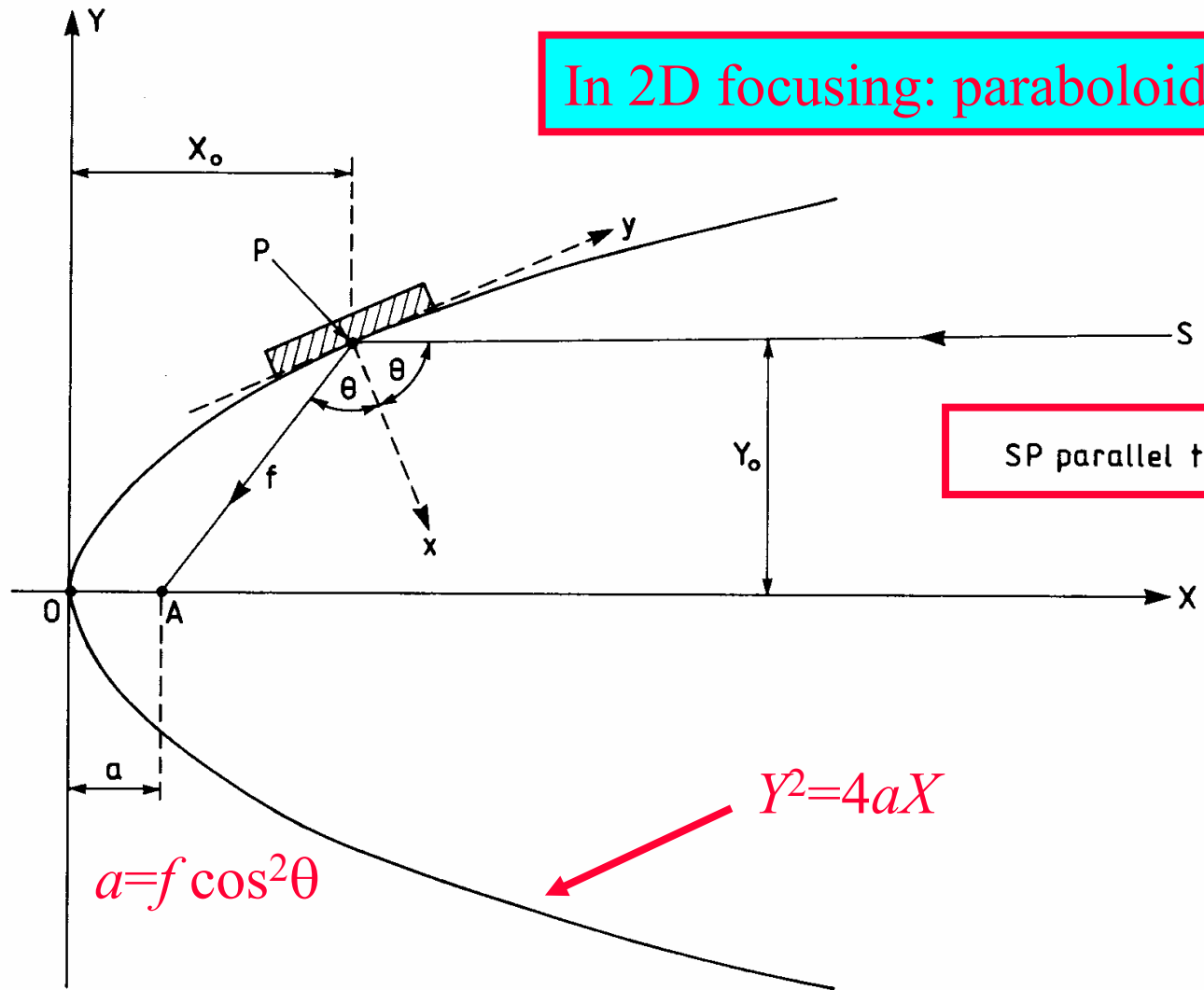


$$\frac{1}{r} + \frac{1}{r'} = \frac{2}{R \cos \theta}$$
$$\frac{1}{r} + \frac{1}{r'} = \frac{2 \cos \theta}{\rho}$$



# Parabolic mirrors to avoid aberration

In 2D focusing: paraboloidal



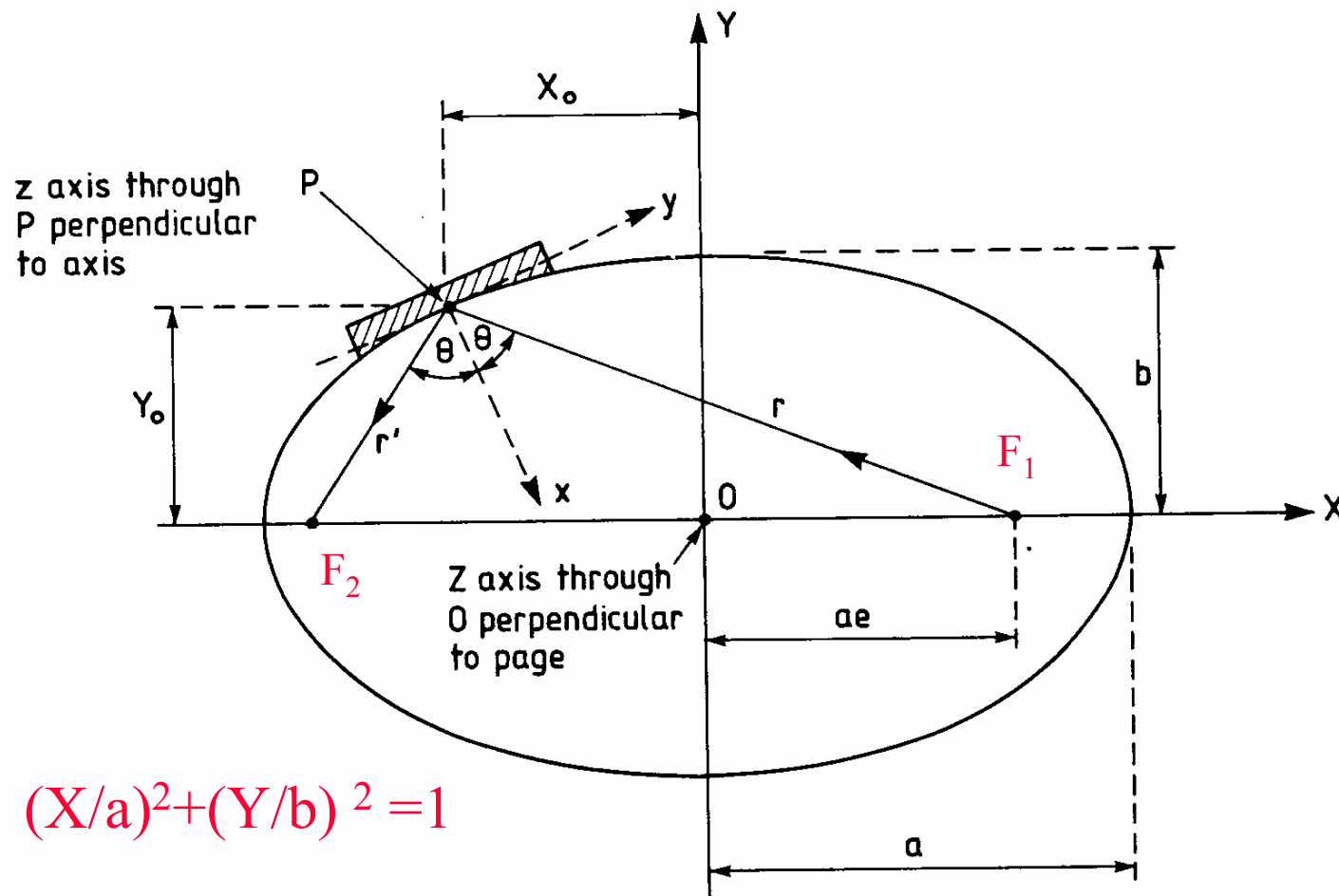
SP parallel to X0

$$a = f \cos^2 \theta$$

$$Y^2 = 4aX$$



## Elliptical mirrors to reduce aberration



For 2D focusing: ellipsoidal shape mirrors



# Reflectivity of mirrors

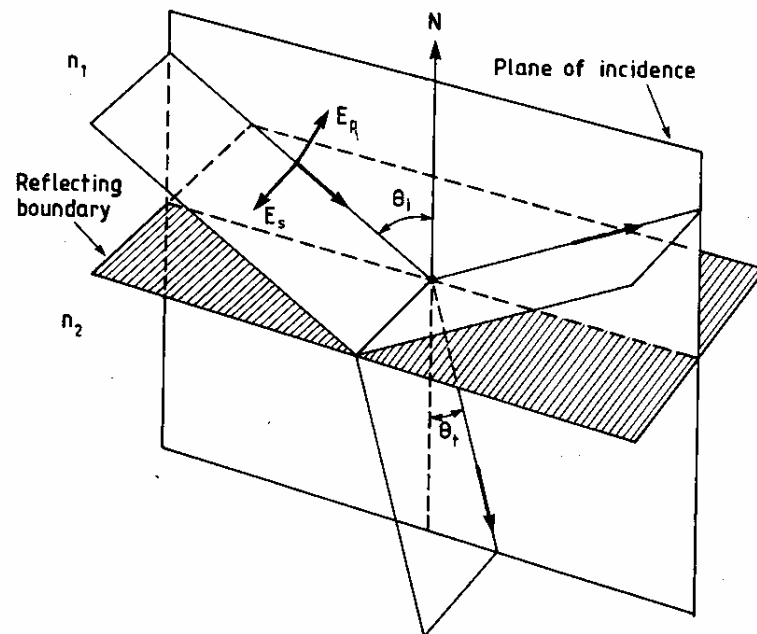
$$R_s = \frac{a^2 + b^2 - 2s \cos \theta + \cos^2 \theta}{a^2 + b^2 + 2s \cos \theta + \cos^2 \theta}$$

$$R_s = R_p^2 \text{ for } 45^\circ$$

$$R_p = R_s \left( \frac{a^2 + b^2 - 2a \sin \theta \tan \theta + \sin^2 \theta \tan^2 \theta}{a^2 + b^2 + 2a \sin \theta \tan \theta + \sin^2 \theta \tan^2 \theta} \right)$$

$$2a^2 = \left[ (n^2 - k^2 - \sin^2 \theta)^2 + 4n^2 k^2 \right]^{\frac{1}{2}} + (n^2 - k^2 - \sin^2 \theta)$$

$$2b^2 = \left[ (n^2 - k^2 - \sin^2 \theta)^2 + 4n^2 k^2 \right]^{\frac{1}{2}} - (n^2 - k^2 - \sin^2 \theta)$$



Complex refractive index

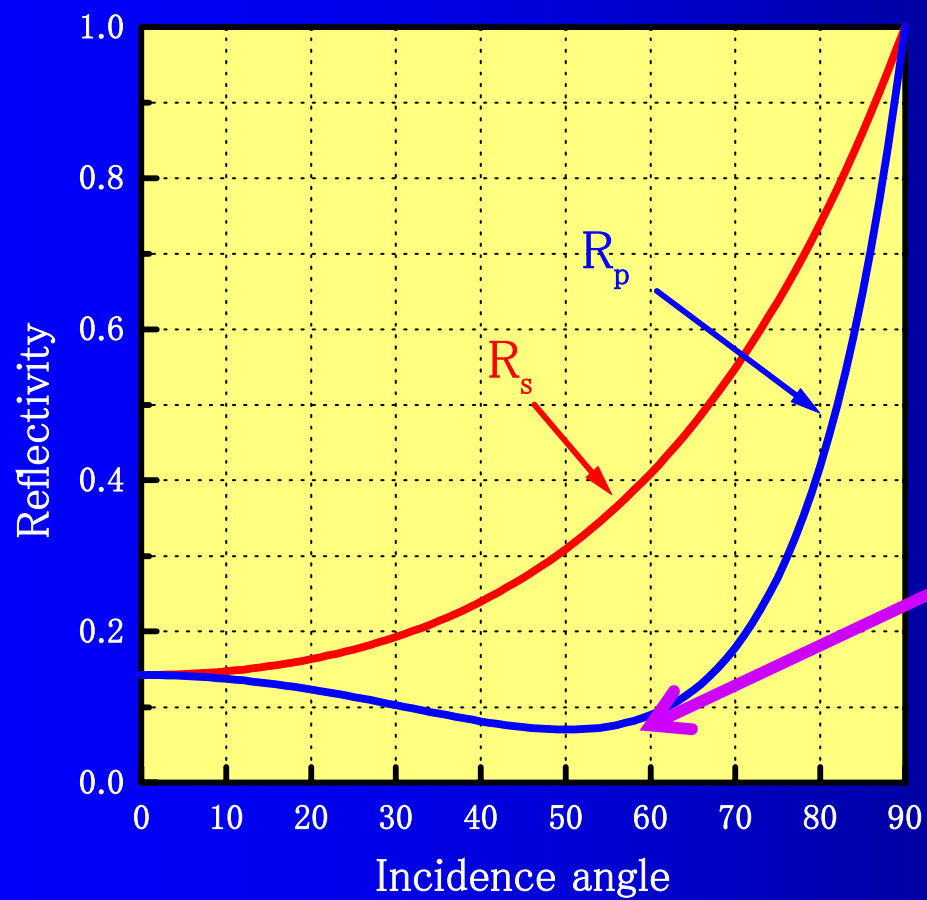
$$\tilde{N} = n - ik$$

complex dielectric constant

complex atomic scattering factor



# Reflectivity of gold at 21.2 eV



Brewster angle

$R_p=0$  for dielectric material





# Atomic scattering factor for Au

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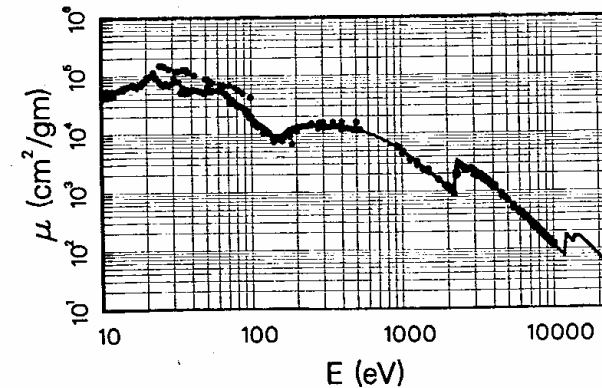
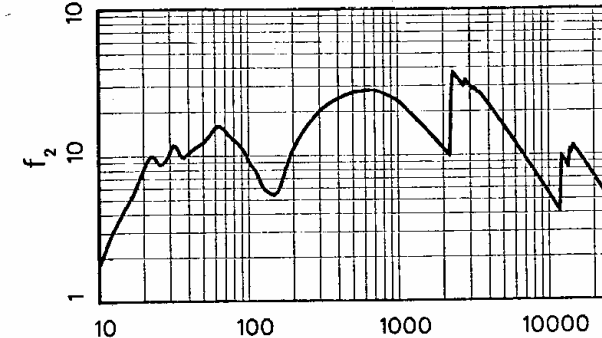
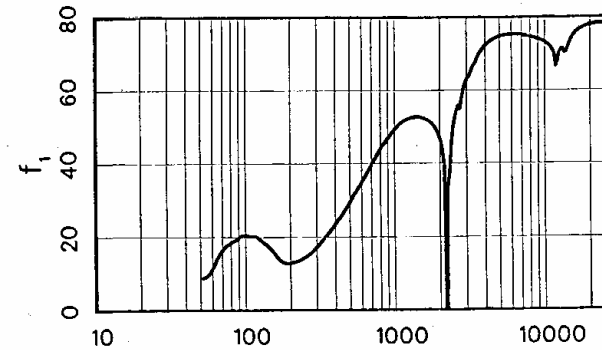
$$\tilde{F} = f_1 + if_2$$

$$f_1 = Z + C \int_0^{\infty} \frac{\varepsilon^2 \mu_a(\varepsilon) d\varepsilon}{E^2 - \varepsilon^2}$$

$$f_2 = (\pi/2)CE\mu_a(\varepsilon)$$

$$K = 1 - \alpha - i\gamma$$
$$\alpha = Df_1$$
$$\gamma = Df_2$$

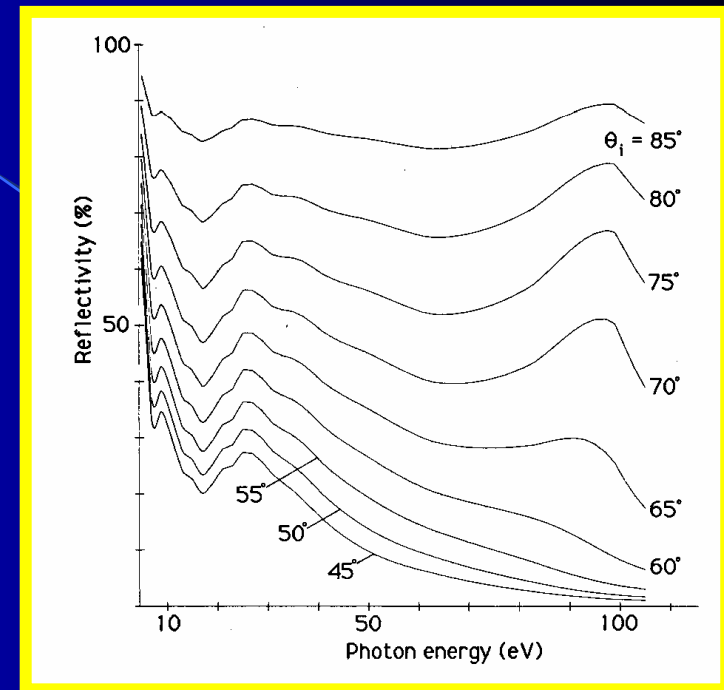
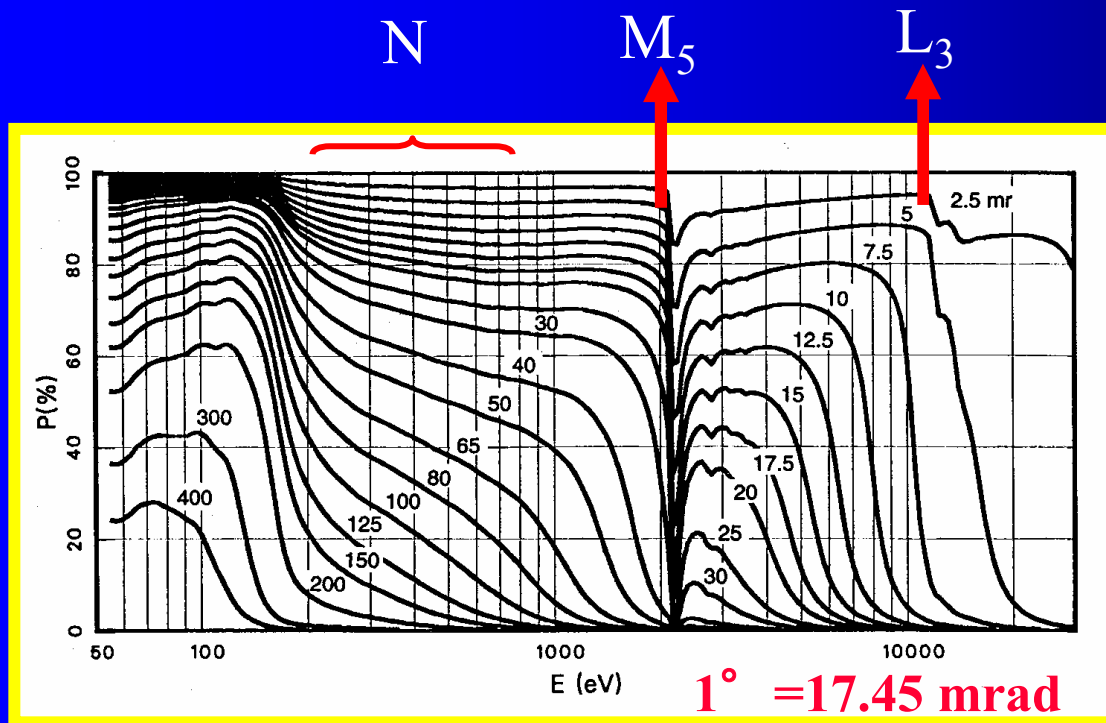
$$\tilde{N} = n - ik$$
$$\tilde{N}^2 = \tilde{K}$$



Henke, Gullikson and Davis, Atomic Data and Nuclear Data Tables, **54**, 181 (1993)



# Reflectivity of gold for s-polarization



**Mirrors can play the role of low pass filters.**

Henke et al., Atomic and Nuclear Data Tables, **54**, 181 (1993)

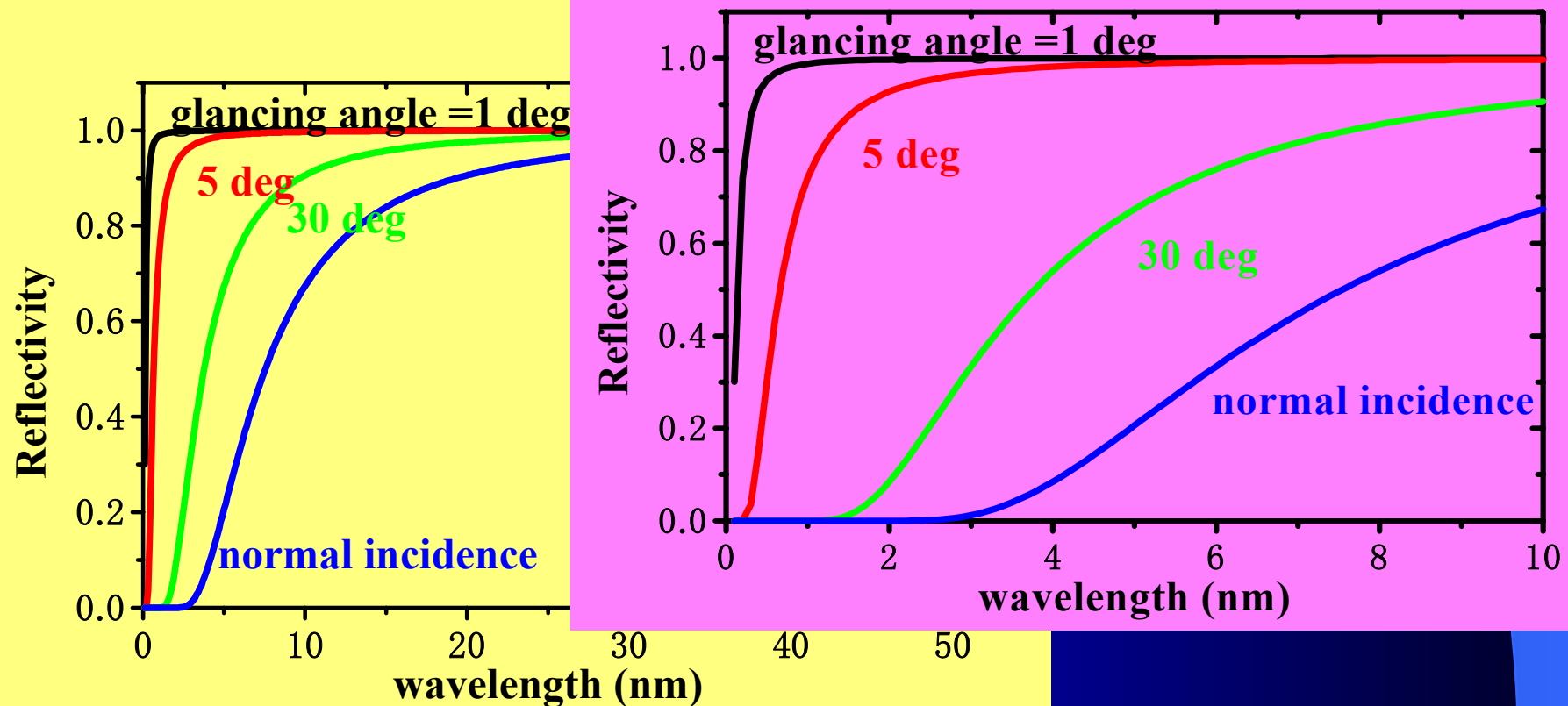


## Surface roughness reduces the reflectivity

$$R = R_0 \exp[-(4\pi\sigma \sin\phi/\lambda)^2]$$

$\sigma$ : micro surface roughness in rms < 0.5 nm

$\phi$ : glancing angle





## **Gratings as dispersion elements**

### **Diffraction grating**

Zone plate

Multi-layered mirror

Filters

Crystals

- 1) Introduction
- 2) Efficiency
- 3) Geometrical optics → ray tracing
- 4) Varied-line spacing grating



# Equation for diffraction grating

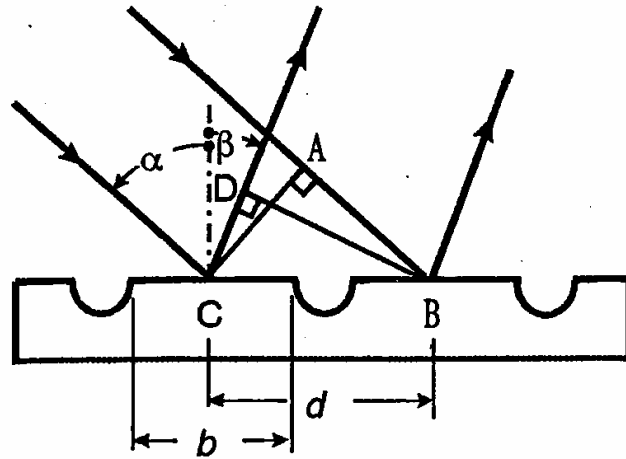
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$$I = a^2 \frac{\sin^2 [(\pi b / \lambda)(\sin \alpha + \sin \beta)] \sin^2 (N \Delta / 2)}{(\pi b / \lambda)^2 (\sin \alpha + \sin \beta)^2 \sin^2 (\Delta / 2)}$$

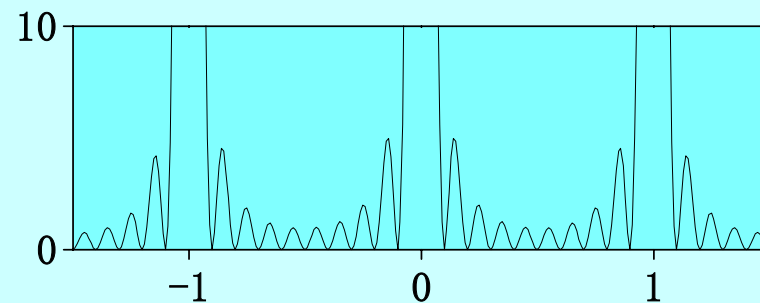
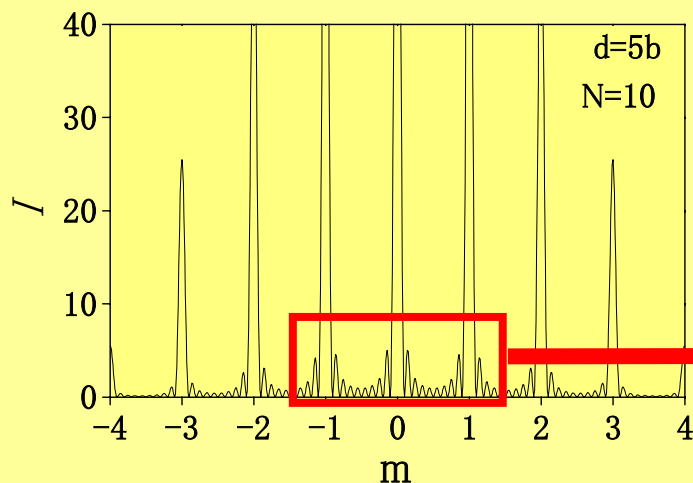
$a$ : amplitude of incident light

$$\Delta = \frac{2\pi d}{\lambda} (\sin \alpha + \sin \beta)$$

$I$  has maximal values for  $\Delta = 2m\pi$ .



$$\sin \alpha + \sin \beta = \frac{m \lambda}{d}$$





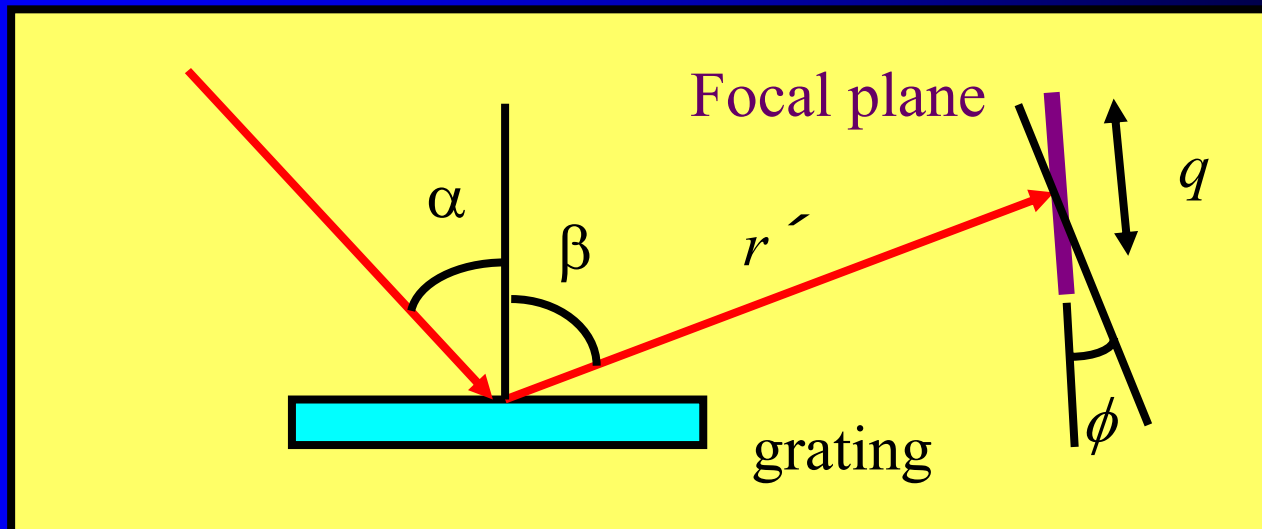
# Dispersion of diffraction grating

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$$\sin \alpha + \sin \beta = \frac{m\lambda}{d}$$

Angular dispersion:  $\left(\frac{\partial \lambda}{\partial \beta}\right)_{\alpha} = \frac{d \cos \beta}{m}$

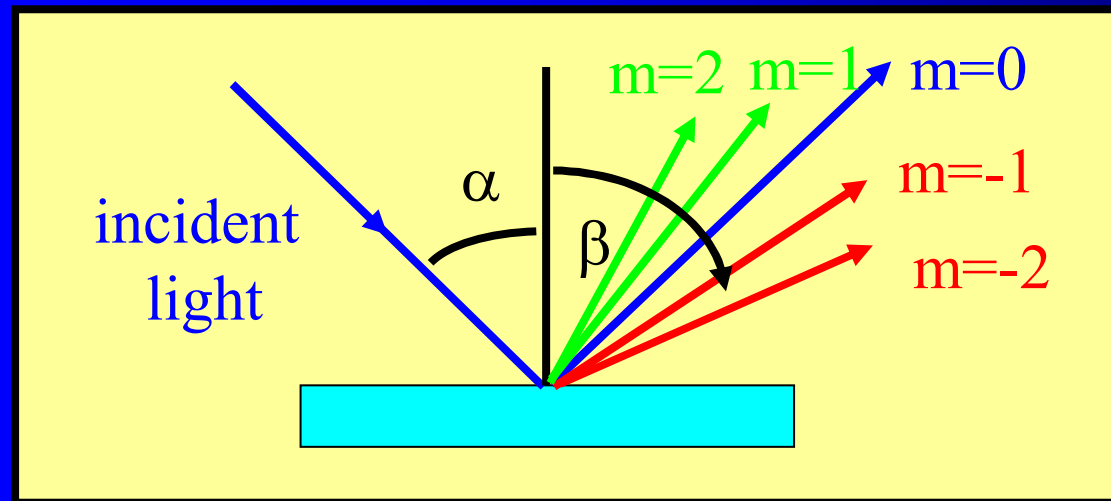
Reciprocal linear dispersion:  $\left(\frac{\partial \lambda}{\partial q}\right)_{\alpha} = \frac{10^6 d[\text{mm}] \cos \beta \cos \phi}{mr'[\text{mm}]} \text{ nm} / \text{mm}$





# Diffraction efficiency

$$\sin \alpha + \sin \beta = \frac{m\lambda}{d}$$

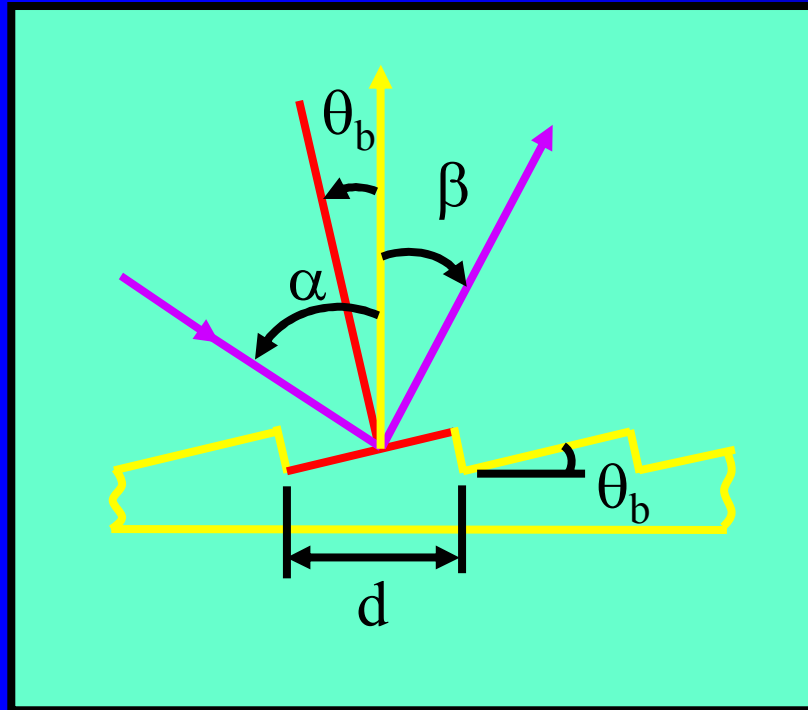


$m > 0$  positive order  
inside order  
 $m < 0$  negative order  
outside order

Diffraction efficiency can be calculated by the scalar theory for  $\lambda/d \ll 1$ . Rigorous numerical calculations based on Maxwell equations gives solutions with much better precision. Note that the efficiency strongly depends on the polarization of incident radiation.



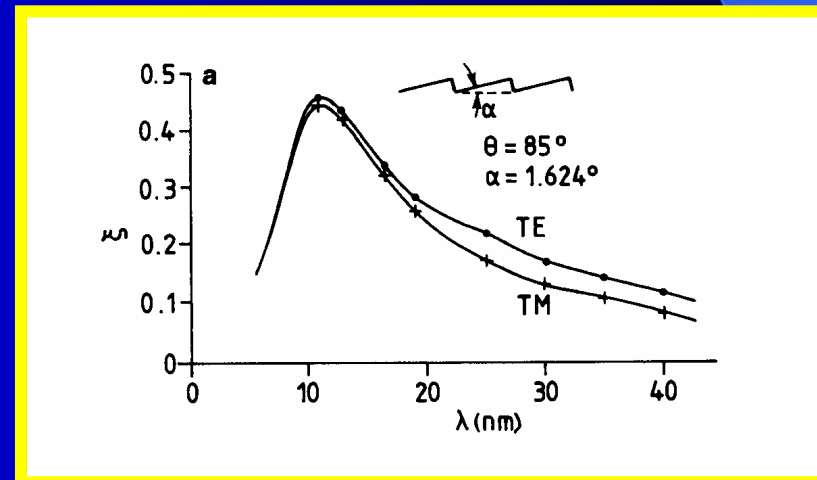
# Blazed grating



Maximal efficiency can be achieved at

$$\alpha - \theta_b = \theta_b - \beta.$$

$m\lambda_{bK} = 2d \sin\theta_b \cos K$   
where blazed wavelength is  $\lambda_{bK}$   
and deviation angle is  $2K = \alpha - \beta$ .



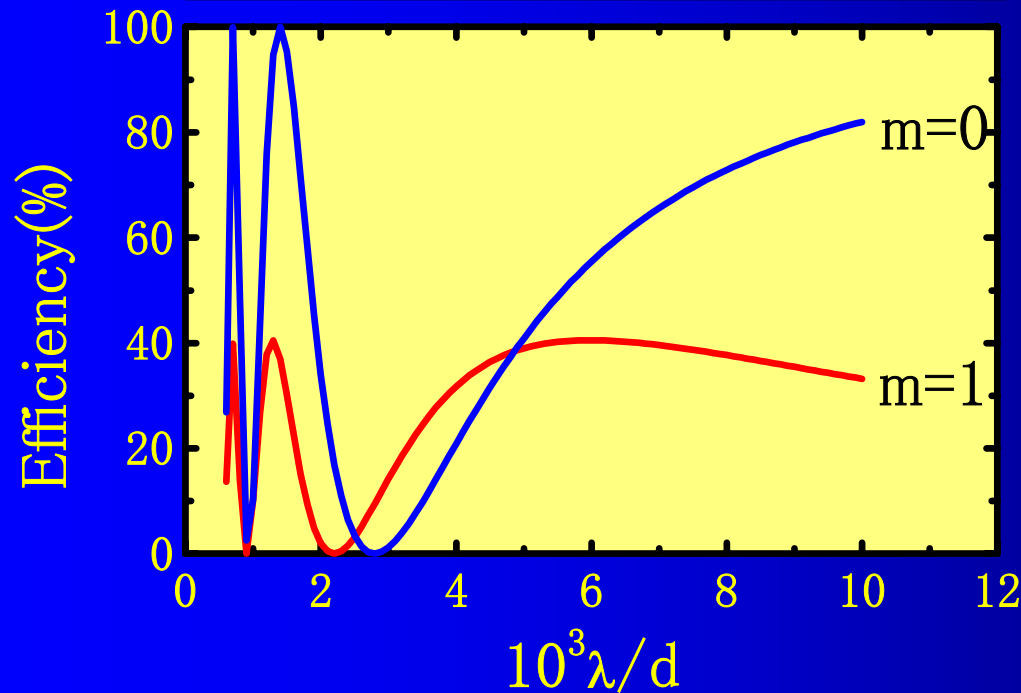
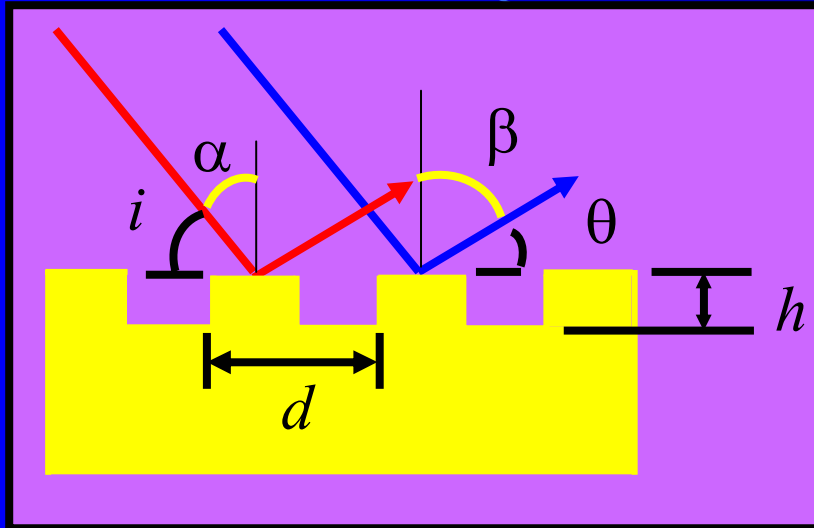
Calculated by M. Neviere





# Laminar grating(1)

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## Grating equation

$$\sin\alpha + \sin\beta = m\lambda/d$$

## Efficiency

$$E_0 = 100 \cos^2(\delta/2)$$

$$E_m = (400/m^2\pi^2) \sin^2(\delta/2)$$

$$\delta = (2\pi/\lambda)h(\sin i + \sin\theta)$$

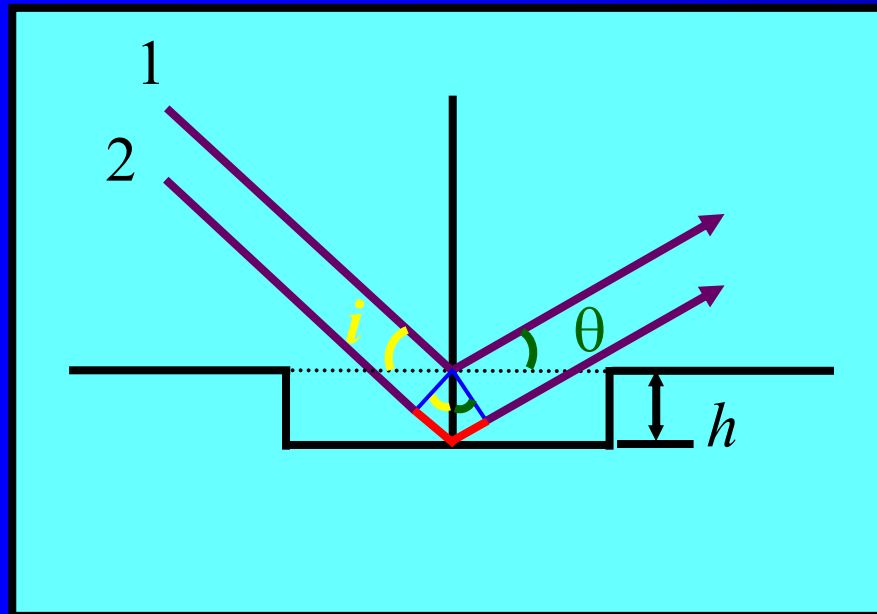
## Primary maximum

$$\lambda/d = [2m\cos i + (\sin\theta)/p] \\ \times (p^2/4 + m^2)$$

where  $P = h/d$



## Laminar grating(2)



When the path difference between 1 and 2 is equal to  $\lambda/2$ , destructive interference occurs.

$$h(\sin i + \sin \theta) = \lambda/2$$

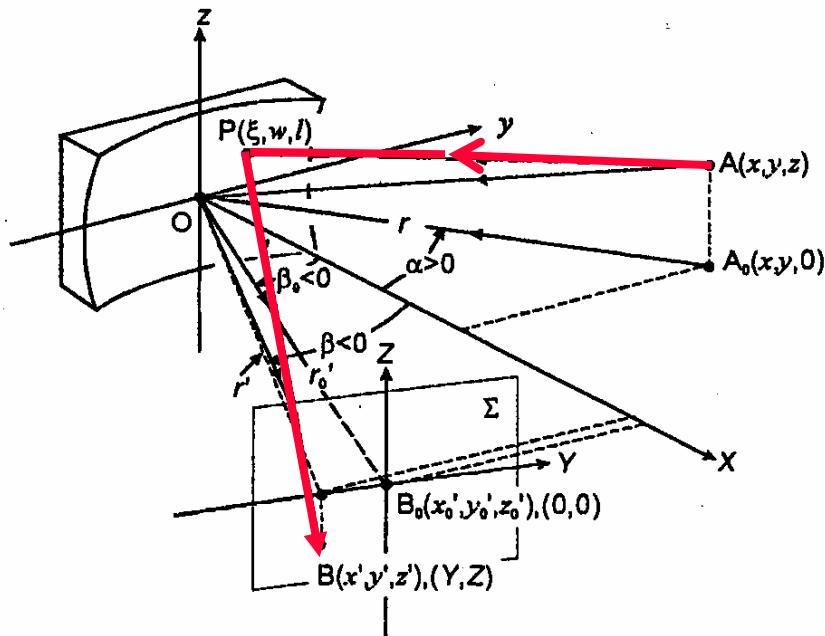
normal incidence:  $\lambda = 4h$

grazing incidence:  $\lambda = 2h(i + \theta)$

**Suppression of 2nd order!!!**



# Geometrical optics of diffraction gratings(1)



Fermat's principle: the pathlength of an actual ray traveling from a point A to a point B takes an extremal or stationary value.

$\delta F=0$ , where  $F$  is the pathlength from A to B.  $F$ : light path function

The red ray meets the grating at a point  $P(\xi, w, l)$  on the  $n$ th groove, the zeroth groove being assumed to pass through O. Two rays diffracted from the zeroth and  $n$ th grooves are reinforced when their path difference is equal to  $nm\lambda$ .

Light path function

$$F=AP+PB+nm\lambda$$

$$AP = \sqrt{(\xi - x)^2 + (w - y)^2 + (l - z)^2}$$

$$PB = \sqrt{(x' - \xi)^2 + (y' - w)^2 + (z' - l)^2}$$



# Geometrical optics of diffraction gratings(2)

Expansion of  $F$  for  $z=0$  and  $n=1/d$

$$F = F_{00} + F_{10} w + \frac{1}{2} F_{20} w^2 + \frac{1}{2} F_{02} l^2 + \frac{1}{2} F_{30} w^3 + \frac{1}{2} F_{12} w l^2 + \frac{1}{8} F_{40} w^4 + \frac{1}{4} F_{22} w^2 l^2 + \frac{1}{8} F_{04} l^4 + \dots$$

spherical aberration

astigmatism

$$F_{00} = r + r_0'$$

$$F_{10} = -\sin \alpha - \sin \beta_0 + \frac{m \lambda}{d}$$

grating equation

$$F_{20} = \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} + \frac{\cos^2 \beta_0}{r_0'} - \frac{\cos \beta_0}{R}$$

defocus in y-direction

$$F_{02} = \frac{1}{r} - \frac{\cos \alpha}{R} + \frac{1}{r_0'} - \frac{\cos \beta_0}{R}$$

defocus in z-direction

$$F_{30} = \frac{\sin \alpha}{r} \left( \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} \right) + \frac{\sin \beta_0}{r_0'} \left( \frac{\cos^2 \beta_0}{r_0'} - \frac{\cos \beta_0}{R} \right) \quad \text{comma}$$

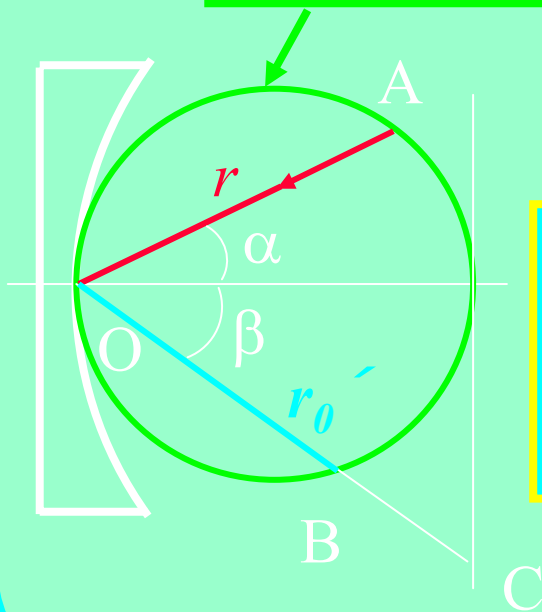


# Geometrical optics of diffraction gratings(3)

Apply Fermat's principle to  $F$

$$\delta F = \frac{\partial F}{\partial w} \delta w + \frac{\partial F}{\partial l} \delta l = 0 \Rightarrow \frac{\partial F}{\partial w} = 0, \quad \frac{\partial F}{\partial l} = 0 \Rightarrow \frac{\partial F_{ij}}{\partial w} = 0, \quad \frac{\partial F_{ij}}{\partial l} = 0$$

Rowland circle



*Rowland mount*

$$r = R \cos \alpha \quad r_0' = R \cos \beta_0$$

$$F_{20} = \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} + \frac{\cos^2 \beta_0}{r_0'} - \frac{\cos \beta_0}{R}$$

$$F_{30} = \frac{\sin \alpha}{r} \left( \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} \right) + \frac{\sin \beta_0}{r_0'} \left( \frac{\cos^2 \beta_0}{r_0'} - \frac{\cos \beta_0}{R} \right)$$

$$F_{20} = F_{30} = 0$$



# Geometrical optics of diffraction gratings(4)

## Ray-tracing

$$F = AP + PB + nm\lambda$$

$$\frac{\partial F}{\partial w} = (L - L') \frac{\partial \xi}{\partial w} + (M - M') + m\lambda \frac{\partial n}{\partial w} = 0$$

$$\frac{\partial F}{\partial l} = (L - L') \frac{\partial \xi}{\partial l} + (N - N') + m\lambda \frac{\partial n}{\partial l} = 0$$

$$L' = L + T$$

$$M' = M + m\lambda \frac{\partial n}{\partial w} - T \frac{\partial \xi}{\partial w}$$

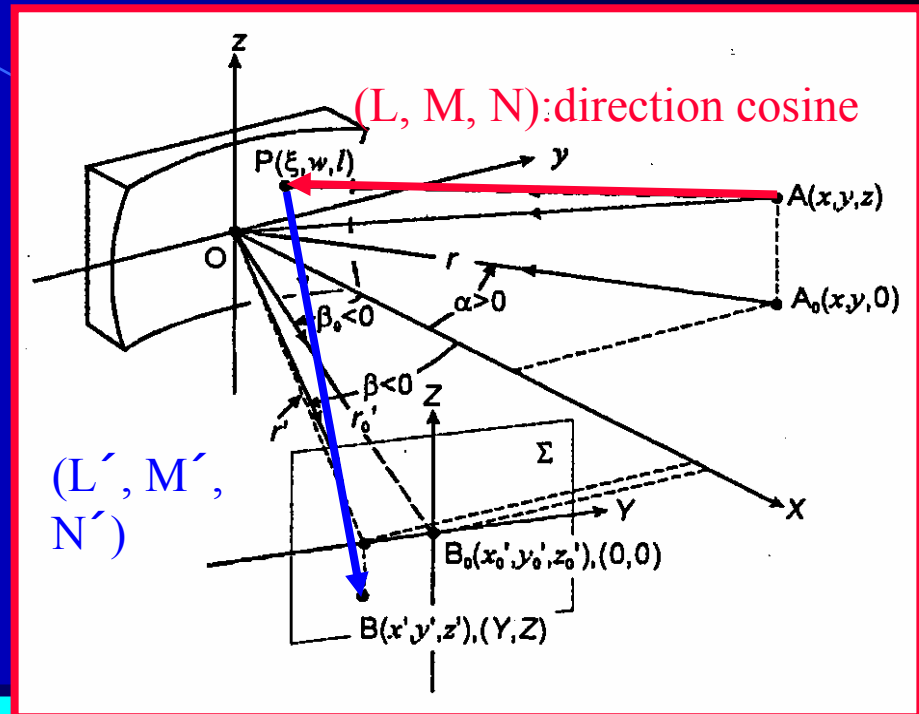
$$N' = N + m\lambda \frac{\partial n}{\partial l} - T \frac{\partial \xi}{\partial l}$$

$$T = \frac{1}{e} \left( p + \sqrt{p^2 - eq} \right)$$

$$e = 1 + \left( \frac{\partial \xi}{\partial w} \right)^2 + \left( \frac{\partial \xi}{\partial l} \right)^2$$

$$p = -L + \left( M + m\lambda \frac{\partial n}{\partial w} \right) \frac{\partial \xi}{\partial w} + \left( N + m\lambda \frac{\partial n}{\partial l} \right) \frac{\partial \xi}{\partial l}$$

$$q = 2m\lambda \left( M \frac{\partial n}{\partial w} + N \frac{\partial n}{\partial l} \right) + (m\lambda)^2 \left[ \left( \frac{\partial n}{\partial w} \right)^2 + \left( \frac{\partial n}{\partial l} \right)^2 \right]$$





# Geometrical optics of diffraction gratings(5)

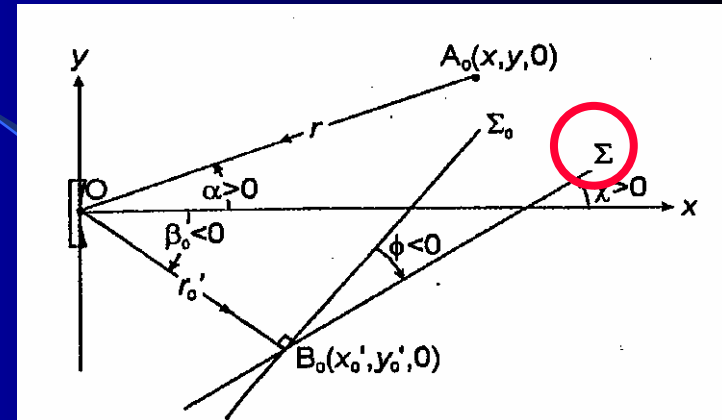
Equation of image plane:

$$x' \cos(\beta_0 + \phi) + y' \sin(\beta_0 + \phi) = r_0' \cos \phi$$

where

$$x' = \xi + L'd \quad y' = w + M'd \quad z' = l + N'd$$

$$d = \frac{r_0' \cos \phi - \xi \cos(\beta_0 + \phi) - w \sin(\beta_0 + \phi)}{L' \cos(\beta_0 + \phi) + M' \sin(\beta_0 + \phi)}$$



YZ-coordinate on  $\Sigma$ -plane

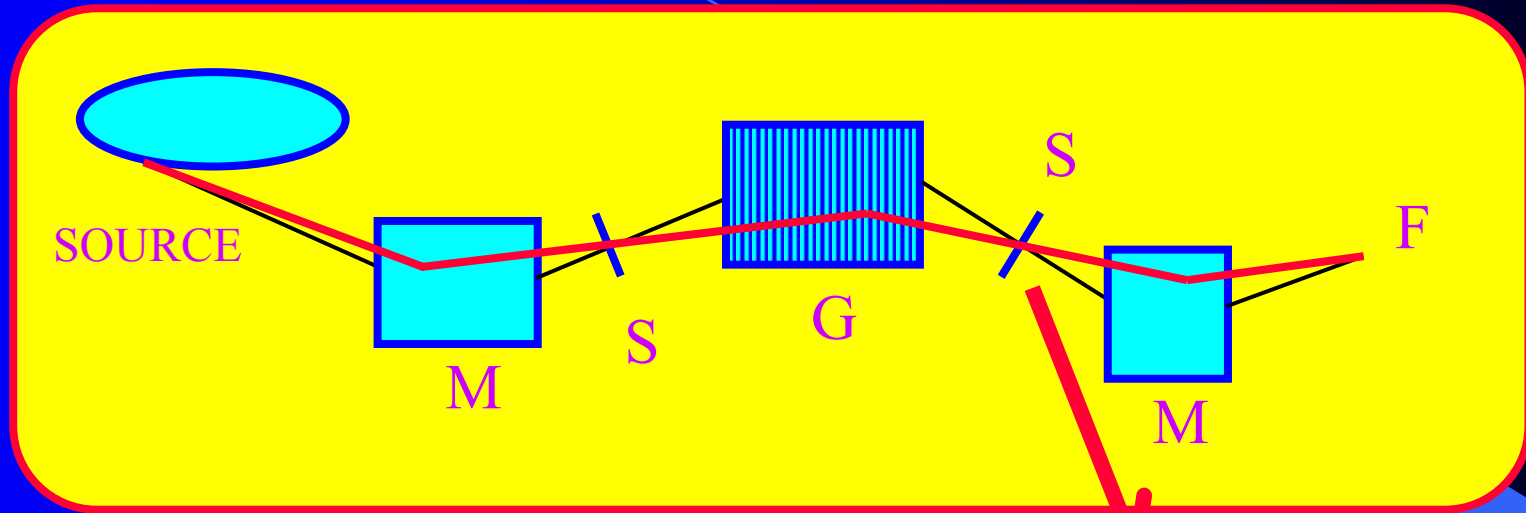
$$Y = (y' - r_0' \sin \beta_0) \sec(\beta_0 + \phi)$$

$$Z = z'$$

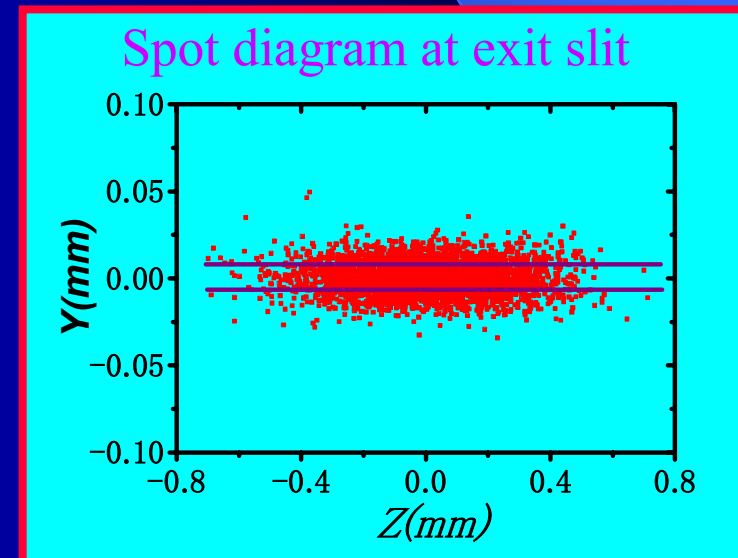
$$Y = r_0' \sec \beta_0 \sec \phi \times \left[ w f_{100} + w^2 f_{200} + l^2 f_{020} + l z f_{011} + z^2 f_{002} + w^3 f_{300} + w l^2 f_{120} + w l z f_{111} + w z^2 f_{102} + O(w^4 / R^3) \right]$$

$$Y = r_0' \left[ z g_{001} + l g_{010} + w l g_{110} + w z g_{101} + w^2 l g_{210} + w^2 z g_{201} + l^3 g_{030} + l^2 z g_{021} + l z^2 f_{012} + z^3 f_{003} + O(w^4 / R^3) \right]$$

# Geometrical optics of diffraction gratings(b)



- By ray-tracing, it is possible to see
- 1) how the beam is focused on the slits and at F,
  - 2) how it spreads on the grating,
  - 3) the geometrical through-put.







# Geometrical optics of diffraction gratings(7)

## Analytical expression for spot diagrams

$$Y = r_0' \sec \beta_0 \sec \phi \times \left[ wf_{100} + w^2 f_{200} + l^2 f_{020} + lz f_{011} \right. \\ \left. + z^2 f_{002} + w^3 f_{300} + wl^2 f_{120} + wlf_{111} + wz^2 f_{102} + O\left(\frac{w^4}{R^3}\right) \right]$$

$$Z = r_0' \left[ zg_{001} + lg_{010} + wlg_{110} + wzg_{101} + w^2 lg_{210} + w^2 zg_{201} \right. \\ \left. + l^3 g_{030} + l^2 zg_{021} + lz^2 f_{012} + z^3 f_{003} + O\left(\frac{w^4}{R^3}\right) \right]$$

## Analytical merit function: $Q$

$$Q = \sum_i Q(\lambda_i) \\ = \sum_i \left[ \frac{1}{WLH} \iiint (Y - \bar{Y})^2 dw dldz + \frac{\mu}{WLH} \iiint Z^2 dw dldz \right]$$

Optimization of design parameters so as to minimize  $Q$ , where  $\mu$  is a weight function. Triple integrals have to be done over the grating surface. Note that  $Y$  and  $Z$  are dependent on  $\lambda_i$  ( $i=1, 2, \dots, N$ ).



## Geometrical optics of diffraction gratings(8)

Hybrid design method : Koike and Namioka, JESRP, 80, 303 (1996)

$$Y_n(w_n, l_n, z_n) = \sum_n f_{ijk} w_n^i l_n^j z_n^k$$
$$Z_n(w_n, l_n, z_n) = \sum_n g_{ijk} w_n^i l_n^j z_n^k$$

Ray-tracing of 18 rays determines  $f_{ijk}$ 's and  $g_{ijk}$ 's by solving simultaneous equations.  
Optimization process using the merit function in the same manner as before.

Ray-tracing program is available at <http://www.xraylith.wisc.edu/shadow/shadow.html>



## Varied line spacing gratings (1)

### Groove function

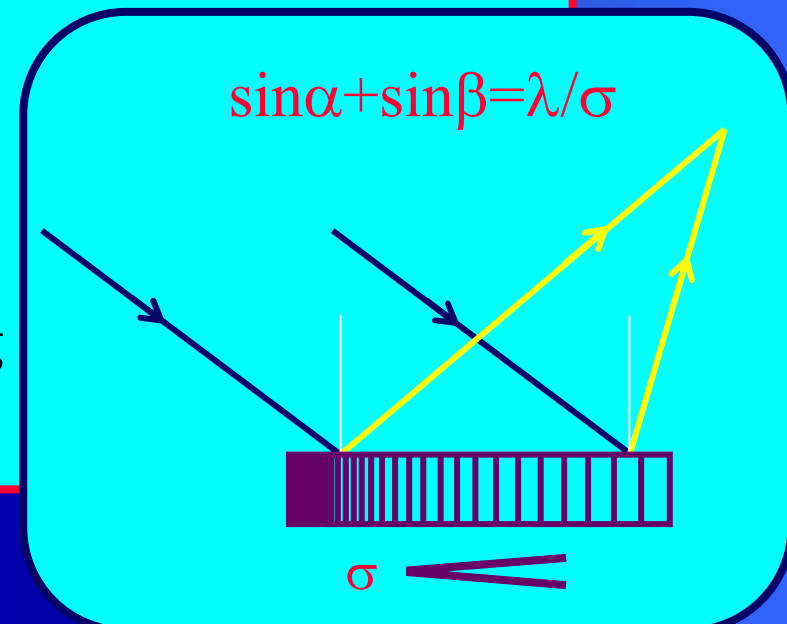
$$n(w, l)\sigma = w + \Gamma \left[ \frac{1}{2} (n_{20} w^2 + n_{02} l^2 + n_{30} w^3 + n_{12} w l^2) + \frac{1}{8} (n_{40} w^4 + 2n_{22} w^2 l^2 + n_{04} l^4) + \dots \right]$$

### Effective grating constant

$$\sigma \equiv 1 / \left[ \frac{\partial n(w, l)}{\partial w} \right]_{w=l=0}$$

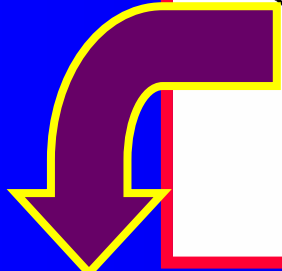
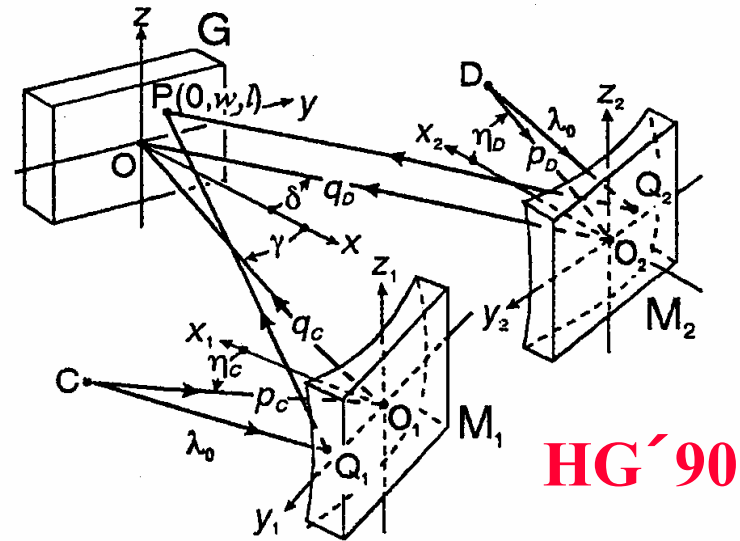
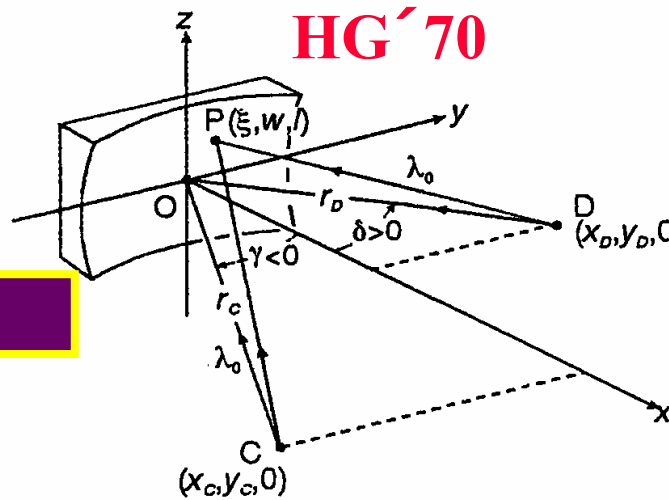
$\Gamma=1$  for mechanically ruled grating

$\Gamma=\sigma/\lambda_0$  for holographic grating





# Varied line spacing gratings (2)



$$n_{20} = T_C - T_D, \quad n_{02} = S_C - S_D$$

$$n_{30} = \frac{T_C \sin \gamma}{r_C} - \frac{T_D \sin \delta}{r_D}, \quad n_{12} = \frac{S_C \sin \gamma}{r_C} - \frac{S_D \sin \delta}{r_D}$$

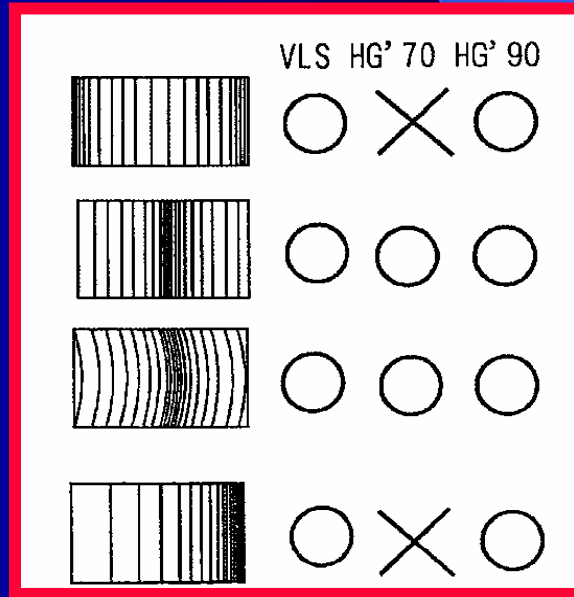
$$n_{40} = \frac{4T_C \sin^2 \gamma}{r_C^2} - \frac{4T_D \sin^2 \delta}{r_D^2} - \frac{T_C^2}{r_C} + \frac{T_D^2}{r_D} + \frac{S_C - S_D}{R^2}$$

....

$$T_C = \frac{\cos^2 \gamma}{r_C} - \frac{\cos \gamma}{R}, \quad T_D = \frac{\cos^2 \delta}{r_D} - \frac{\cos \delta}{R}$$

$$S_C = \frac{1}{r_C} - \frac{\cos \gamma}{R}, \quad S_D = \frac{1}{r_D} - \frac{\cos \delta}{R}$$

Namioka and Koike, Appl. Opt., 34, 2180 (1995)





# Monochromators in the VUV-SX region for SR use (1)

## Normal incidence monochromators

M. Koike, "Normal incidence monochromators and spectrometers" in J.A.R. Samson and D.L. Ederer Eds., "Vacuum Ultraviolet Spectroscopy II in Experimental Methods in Physical Sciences" Vol. 32, (Academic Press, New York, 1998, Chapter 1, pp. 1-20 [review](#))

### (A) Seya-Namioka type monochromator

### (B) Pseudo Rowland mount monochromator

K. Ito, Y. Morioka, M. Ukai, N. Kouchi, Y. Hatano and T. Hayaishi, RSI, **66**, 2119 (1995)

### (C) Eagle type monochromator

#### 1) 6.65-m Eagle at BL-12B of the Photon Factory

K. Ito, T. Namioka, Y. Morioka, T. Sasaki, H. Noda, K. Goto, T. Katayama and M. Koike, Appl. Opt., **25**, 837-847 (1986)

K. Ito and T. Namioka, Rev. Sci. Instr., **60**, 1573-1578 (1989)

K. Ito, K. Maeda, Y. Morioka and T. Namioka, Appl. Opt., **28**, 1813-1817 (1989)

#### 2) undulator based 6.65-m Eagle at BL9.02 of ALS

M. Koike, P. Heimann, A. Kung, T. Namioka, R. DiGennaro, B. Gee and N. Yu, NIM, **A347**, 282 (1994)

A.G. Suits, P. Heimann, X. Yang, M. Evans, C.W. Hsu, K. Lu, Y.T. Lee and A.H. Kung, RSI, **66**, 4841 (1995)

D.A. Mossessian, P. Heimann, E. Gullikson, R.K. Kaza, J. Chin and J. Arke, NIM, **A347**, 244 (1994)

#### 3) 6.65-m Eagle with variable polarization undulator at SU5 of LURE

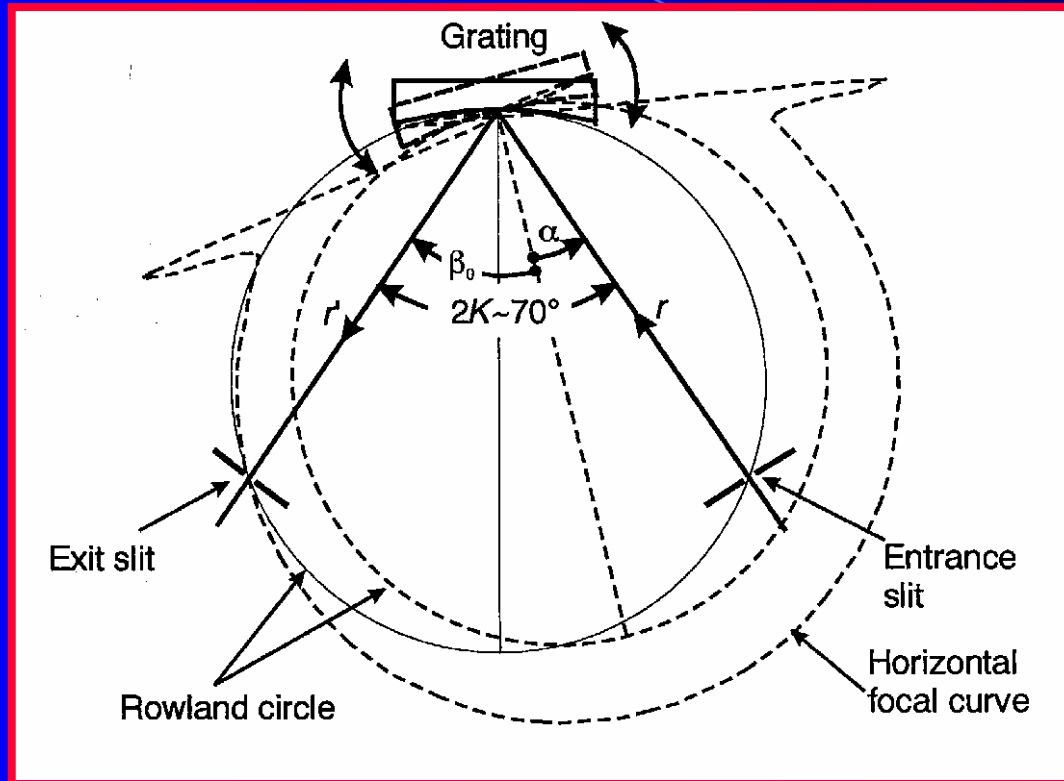
L. Nahon, B. Lagarde, F. Polack, C. Alcaraz, O. Dutuit, M. Vervloet and K. Ito, NIM, **A404**, 418-429 (1998)

K. Ito, B. Lagarde, F. Polack, C. Alcaraz and L. Nahon, J. Synchrotron Rad., **5**, 839-841 (1998)

L. Nahon, C. Alcaraz, J-J. Marlats, B. Lagarde, F. Polack, R. Thissen, D. Lepere and K. Ito, RSI, **72**, 1320 (2001)

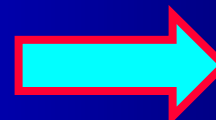


# Seya-Namioka monochromator (1)



$$I_{200} = \int_{\theta_1}^{\theta_2} F_{200}^2 d\theta$$

$$\frac{\partial I_{200}}{\partial r} = 0, \quad \frac{\partial I_{200}}{\partial r'} = 0, \quad \frac{\partial I_{200}}{\partial K} = 0$$



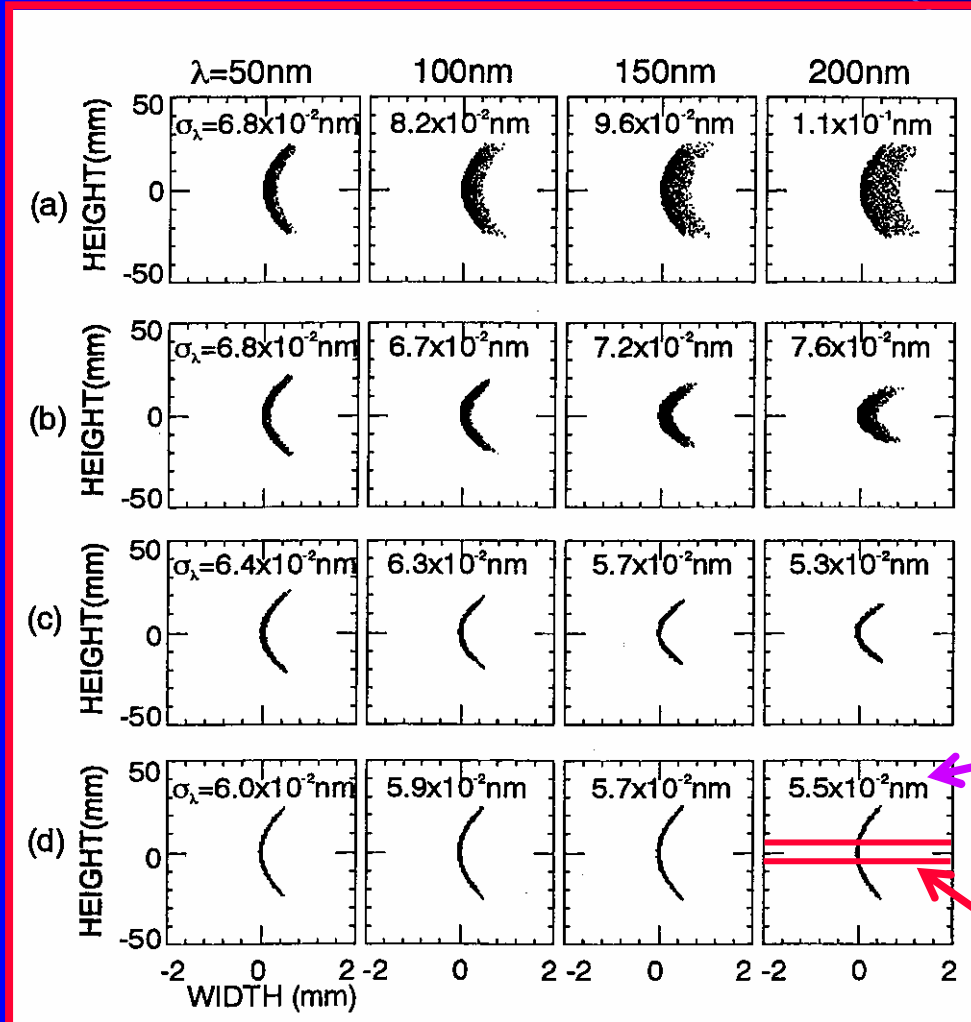
$$\begin{aligned} R/r &= 1.220527 \\ R/r' &= 1.216931 \\ 2K &= 69.44^\circ \end{aligned}$$



# Seya-Namioka monochromator (2)



1000 rays, generated from the entrance slit 10mm long, hitting the 1800-grooves/mm grating with 100(W)×60(H) mm<sup>2</sup> : from Koike's review



conventional grating

holographic grating recorded with a spherical wave front

holographic grating recorded with an aspherical wave front

$E/\Delta E \approx 3600$

VLS grating with straight grooves

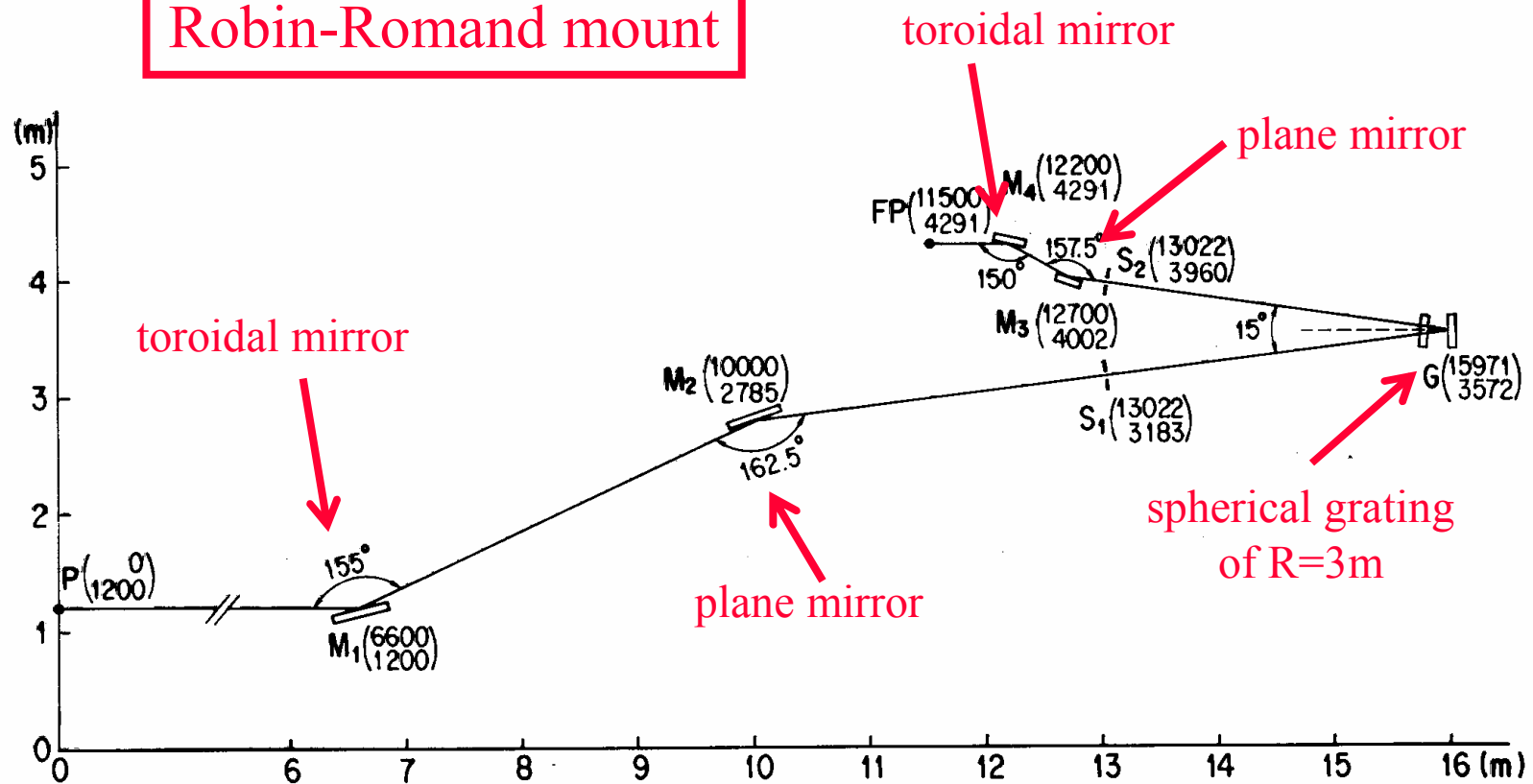
$E/\Delta E \approx 3 \times 10^4$   
Through put: 23%



# Pseudo Rowland mount monochromator

k. ito 40  
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## Robin-Romand mount



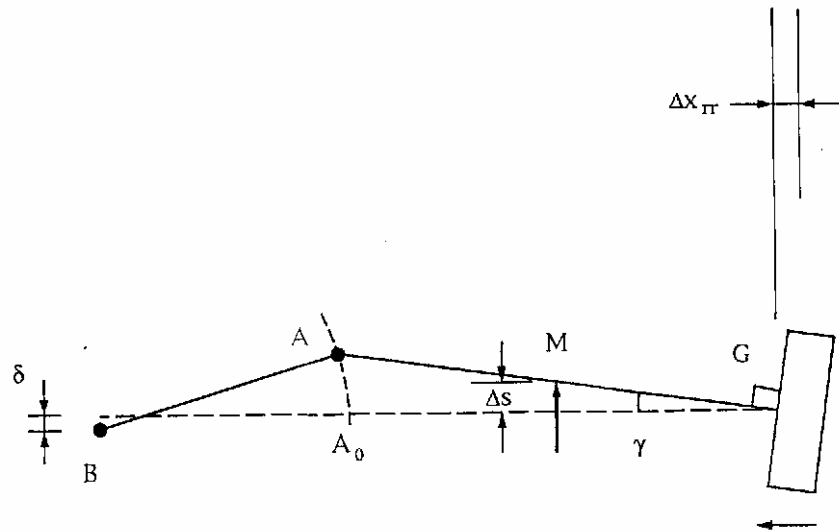
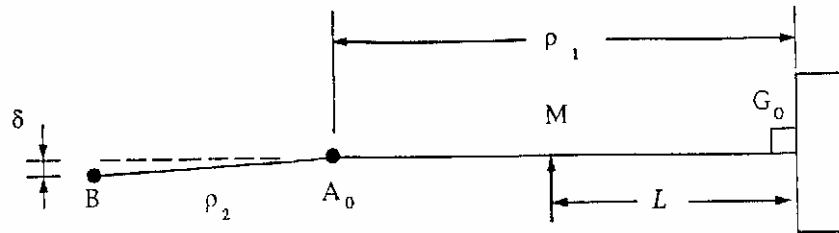
K. Ito, Y. Morioka, M. Ukai, N. Kouchi, Y. Hatano and T. Hayaishi, RSI, 66, 2119 (1999)





# Pseudo Rowland mount monochromator

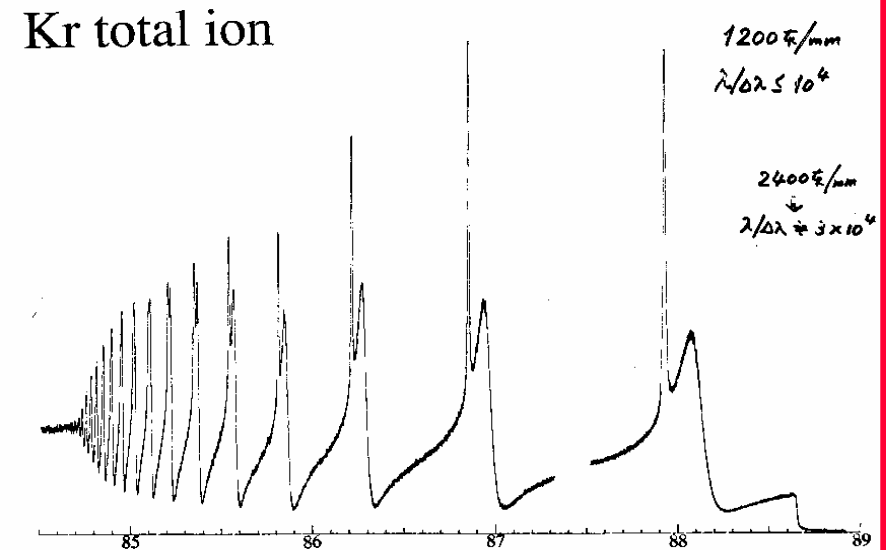
k. ito 41  
JASS2002  
Oct 21, 2002



$$F_{20} = \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} + \frac{\cos^2 \beta}{r'} - \frac{\cos \beta}{R}$$

$\Delta_{th}$  is calculated by  $F_{20}=0$ .  
 $\rho_2$  and  $\delta$  are chosen so that

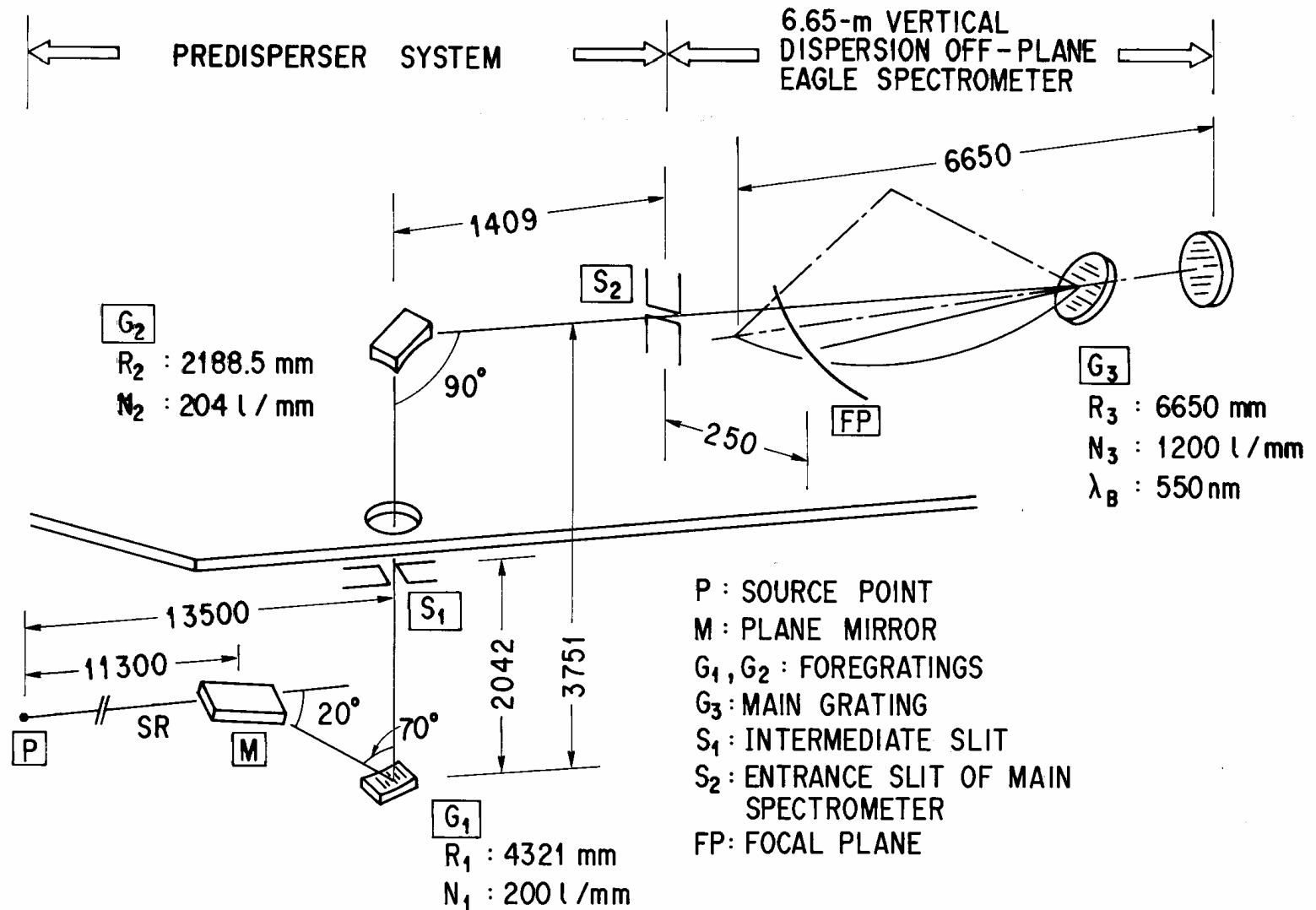
$$\sum_{\lambda=30 \text{ nm}}^{200 \text{ nm}} (\Delta_{rr} - \Delta_{th})^2 \text{ is minimized.}$$



K. Ito, Y. Morioka, M. Ukai, N. Kouchi,  
Y. Hatano and T. Hayaishi, RSI, **66**, 2119 (1995)

With a 2400-*l/mm* grating,  
 $E/\Delta E \leq 3 \times 10^4$  can be attained.

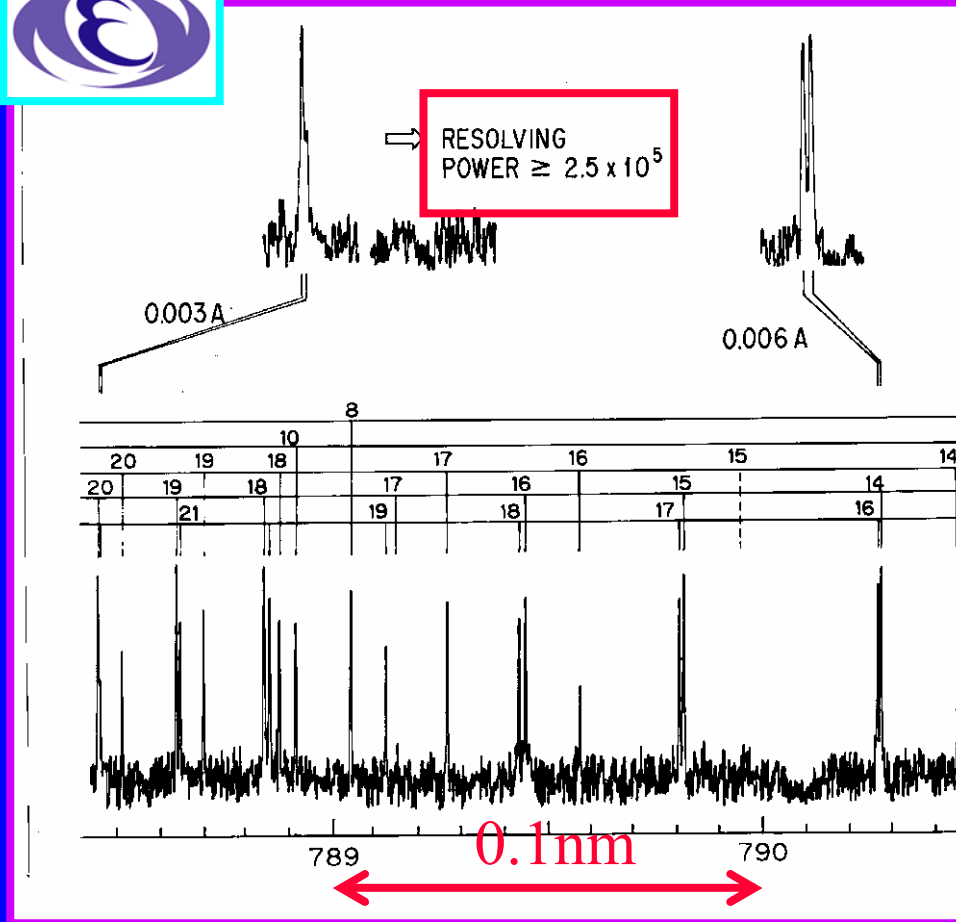
# Off-plane Eagle (1)



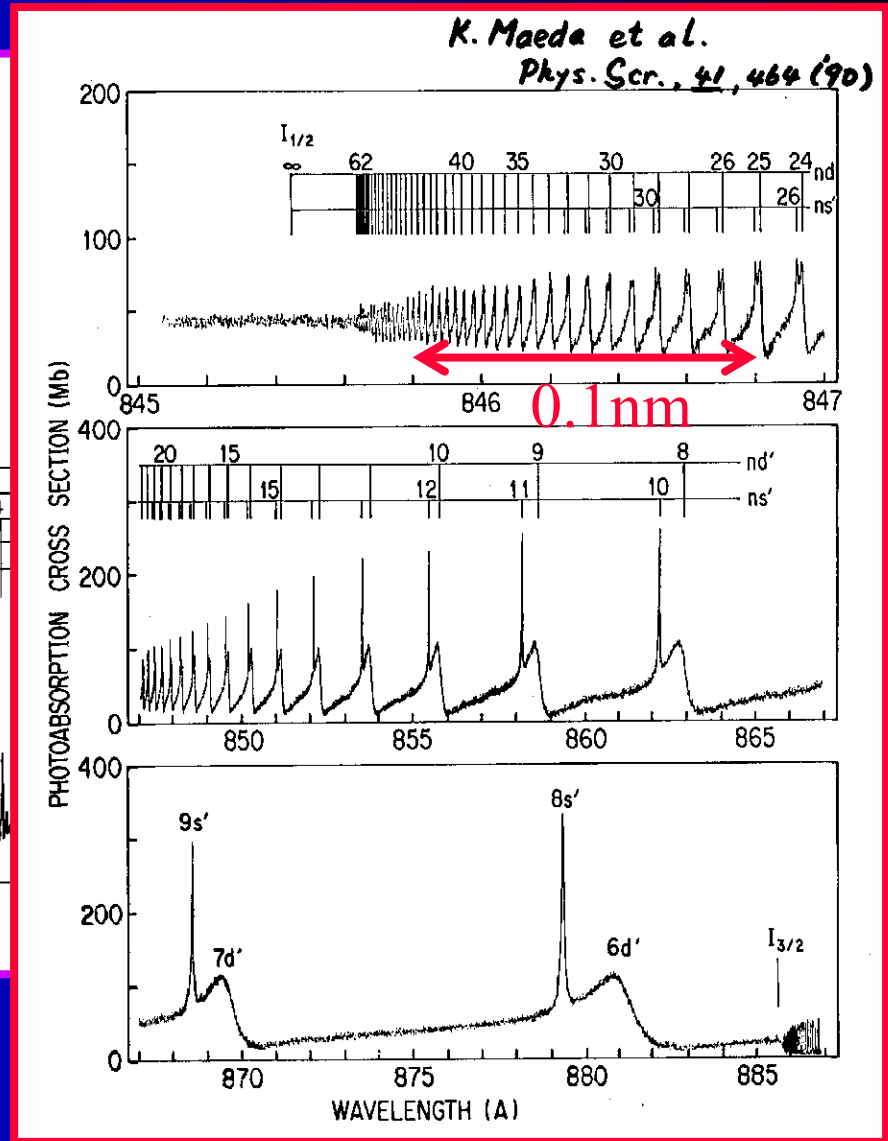
6.65-m off-plane Eagle spectrograph installed at the PF in 1983



# Off-plane Eagle (2)



Photographic



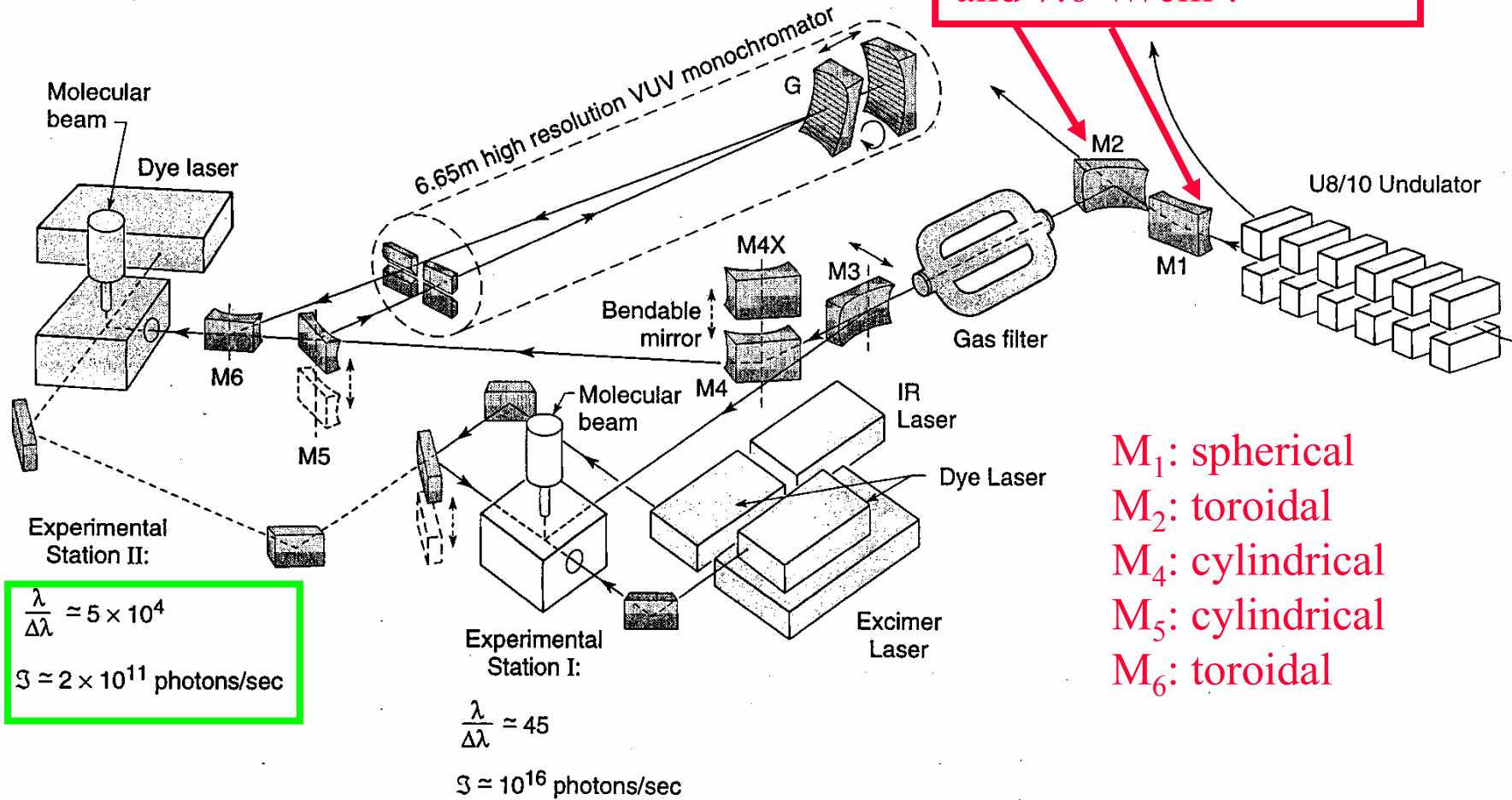
Photoelectric



# Off-plane Eagle (3)

Molecular beams, lasers, and undulator radiation  
Molecular spectroscopy, 5 to 30 eV (400 to 2500Å)  
Photodissociation **ALS**

Absorbed power density of M<sub>1</sub> and M<sub>2</sub> are 10.4 and 7.6 W/cm<sup>2</sup>.

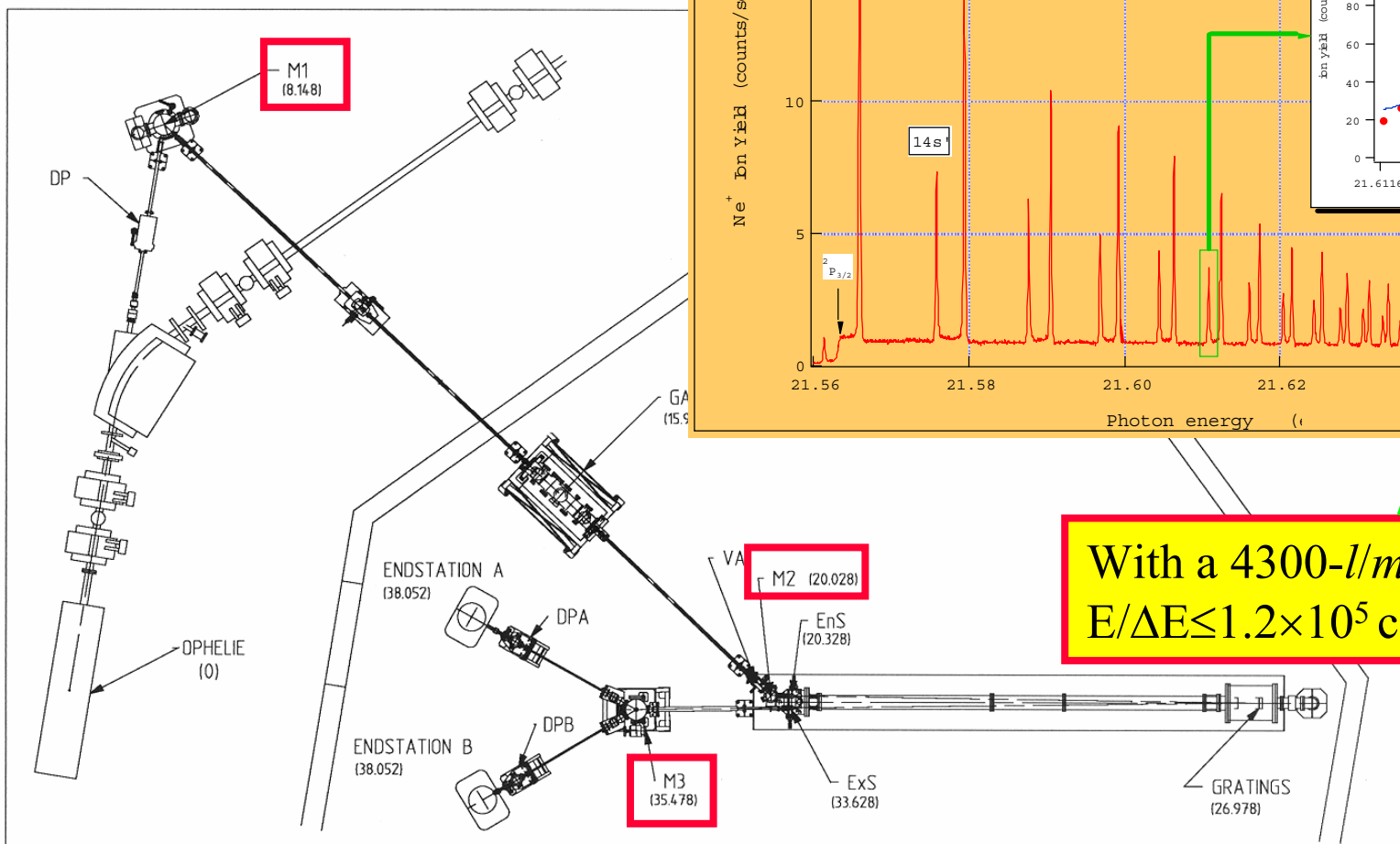
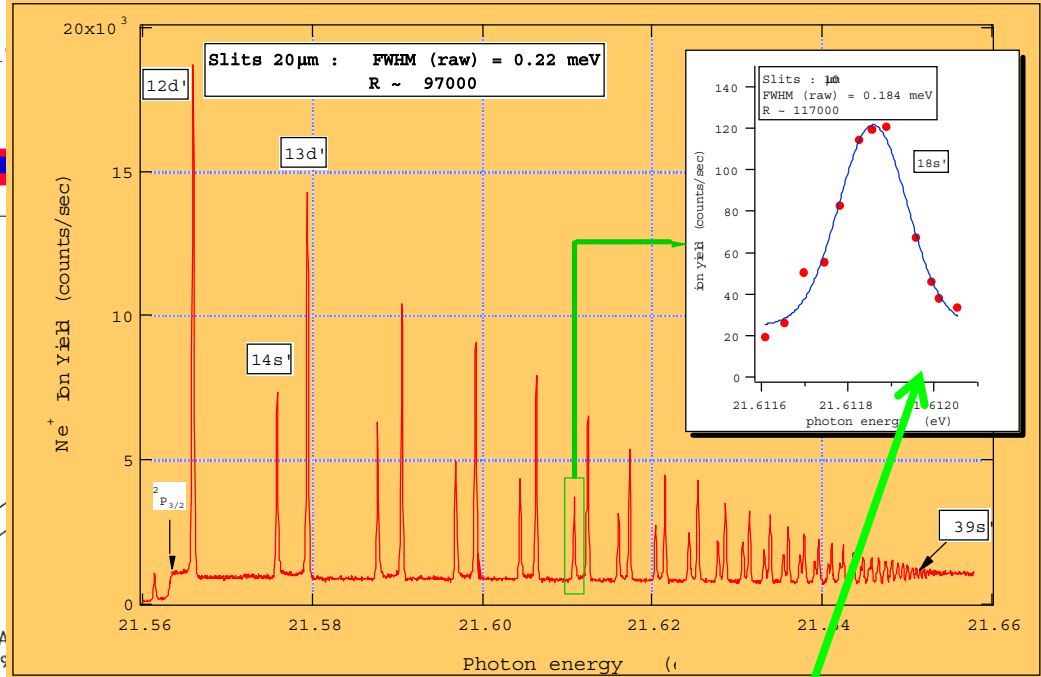




# Off-plane Eagle (4)

VUV high-resolution b  
with variable polarizati  
of SACO (LURE)

Autoionization spectrum of neon (4300 l/mm grating)



With a 4300-l/mm grating,  
 $E/\Delta E \leq 1.2 \times 10^5$  can be attained.



# Monochromators in the VUV-SX region for SR use (2)

## Grazing incidence monochromators

(A) Spherical grating monochromator (SGM) or Dragon  
C.T. Chen, NIM, **A256**, 595 (1987); C.T. Chen and F. Sette, RSI, **60**, 1616 (1989).

(B) SX700 (PGM, elliptical mirror) and modified SX700  
H. Petersen, Opt. Com., **40**, 402 (1982); H.A. Padmore, RSI, **60**, 1608 (1989);  
H. Petersen et al., RSI, **66**, 1777 (1995).

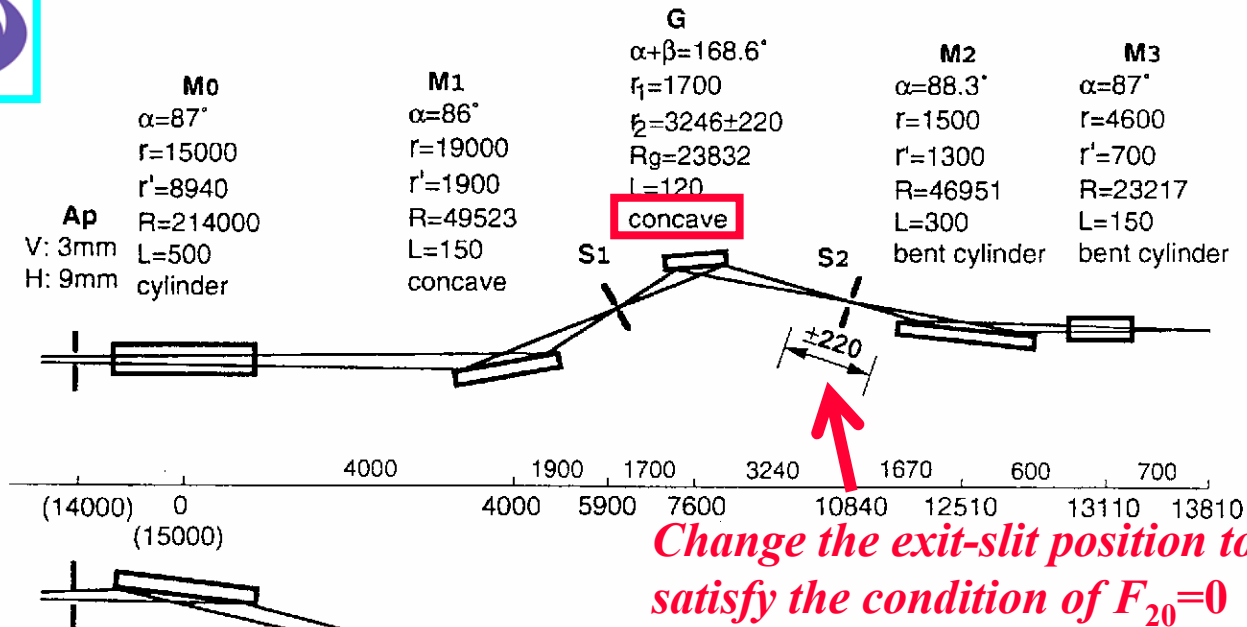
(C) Monk-Gillieson type monochromator  
M. Hettrick et al., Appl. Opt., **27**, 200 (1988); M. Koike and T. Namioka, RSI, **66**,  
2114 (1995).

(D) Harada type monochromator (PGM)  
T. Harada, M. Itou and T. Kita, Proc. SPIE, **503**, 114 (1984); M. Itou, T. Harada and  
T. Kita, Appl. Opt., **28**, 146 (1989).

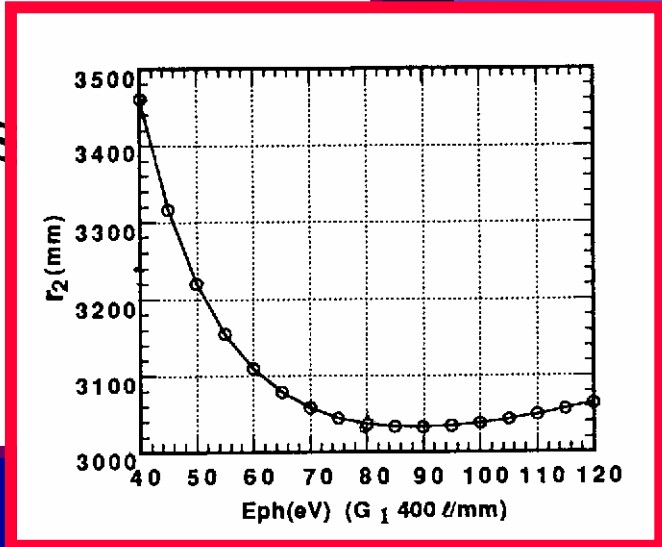
(E) Grasshopper monochromator: Rowland mount  
F.C. Brown et al., NIM, **152**, 73 (1978); F. Senf et al., RSI, **63**, 1326 (1992).



# SGM at the BL-16B of the PF (1)



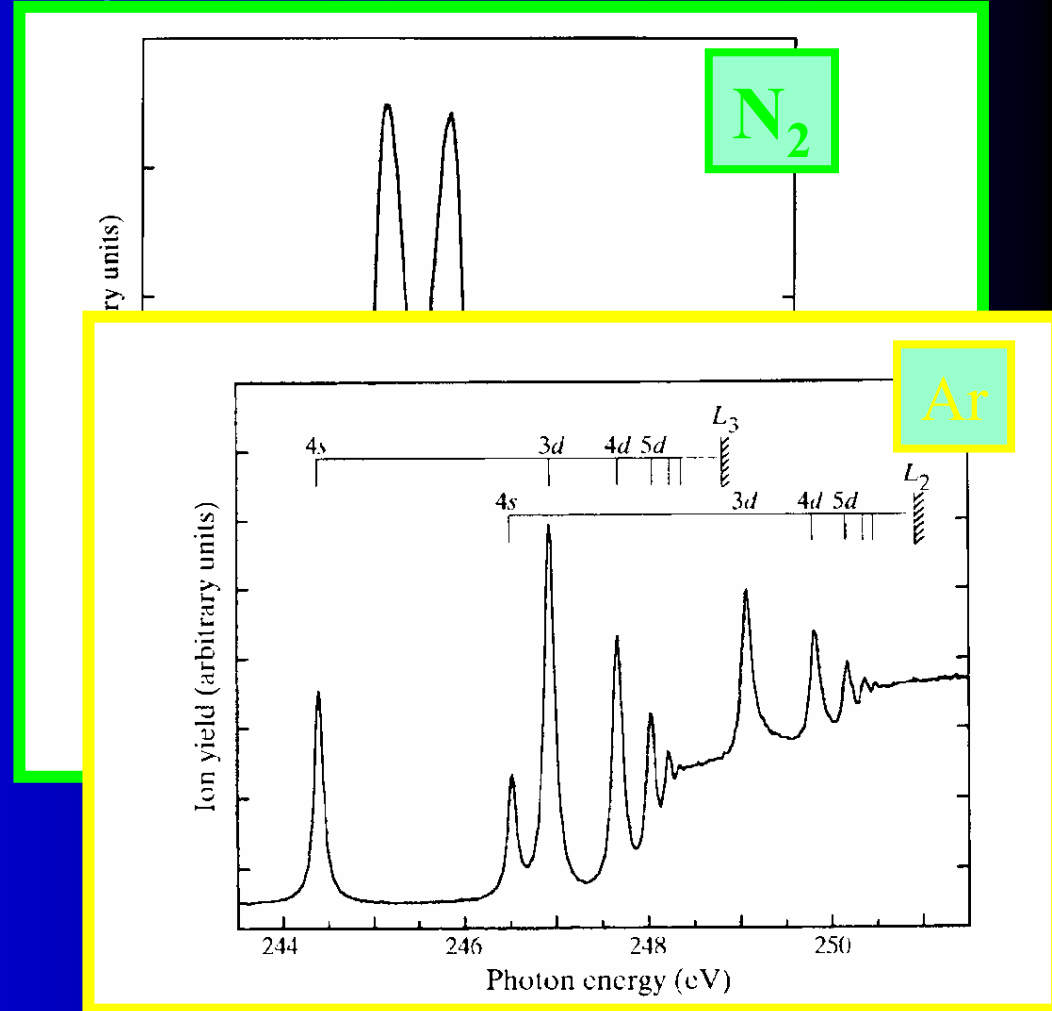
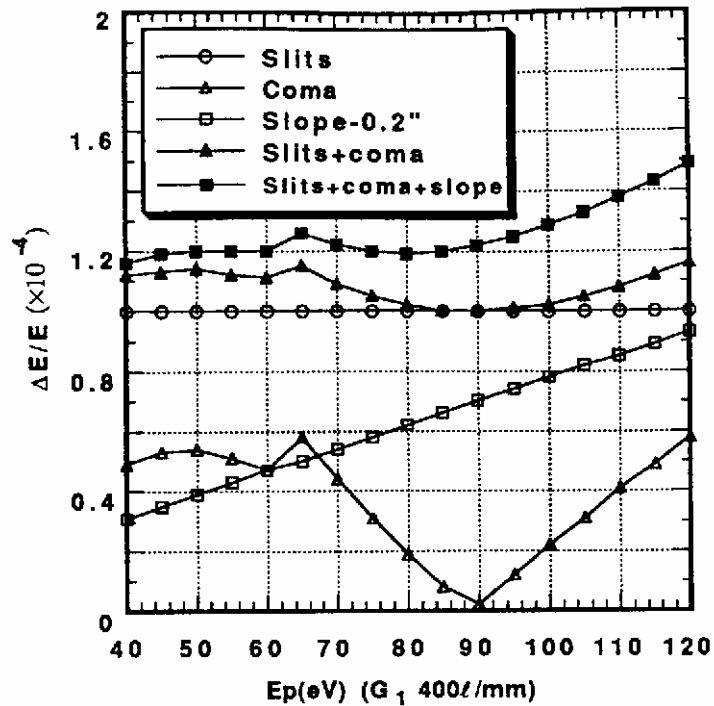
Parameters of the source  
 Ring energy and current:  $E_e=2.5\text{GeV}$   $I_e=300\text{mA}$   
 Beam emittance:  $\sigma_x=0.78\text{mm}$   $\sigma_y=0.11\text{mm}$   
 $\sigma_x'=0.16\text{mrad}$   $\sigma_y'=0.022\text{mrad}$   
 Undulator:  $\lambda_u=12\text{cm}$   $N=26$





# SGM at the BL-16B of the PF (2)

Theoretical estimation for resolving power



Shigemasa et al., JSR, 5, 772 (1998)





# SX-700

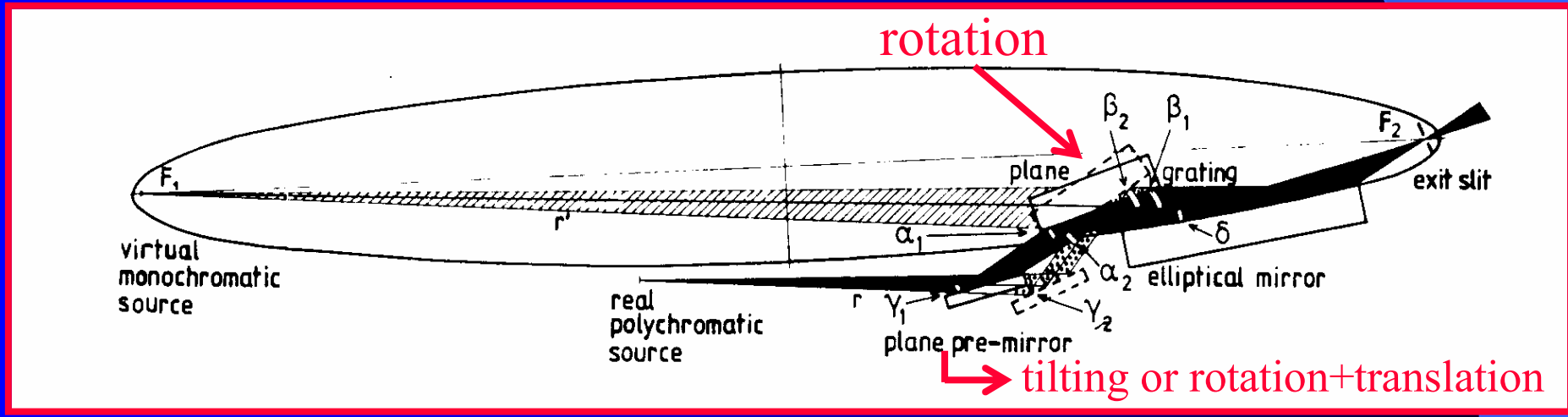
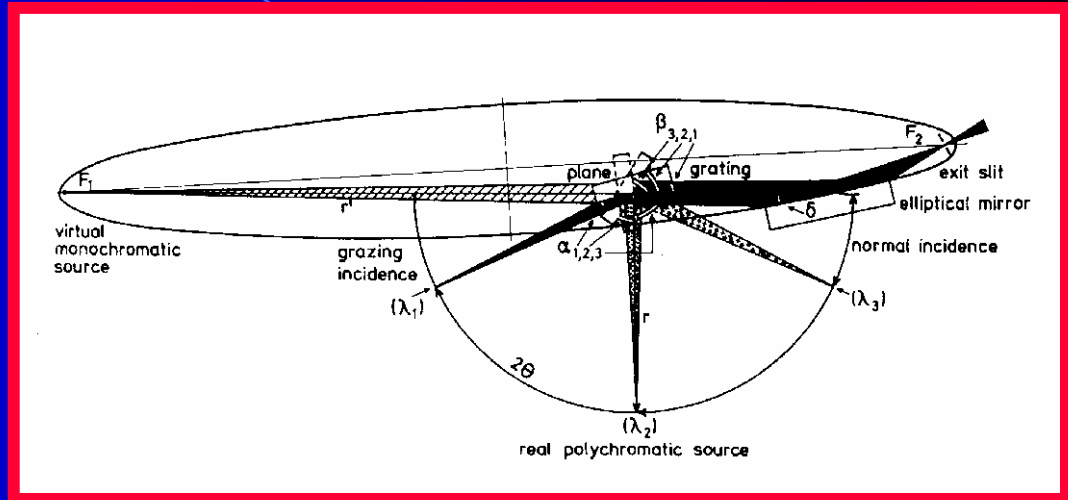
H. Petersen, Opt. Com., 40, 402 (1982)

$$F_{20} = \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} + \frac{\cos^2 \beta}{r'} - \frac{\cos \beta}{R}$$

$$F_{20} = 0 \text{ with } R = \infty$$

$$r = -r' \frac{\cos^2 \beta}{\cos^2 \alpha} (= -r' C)$$

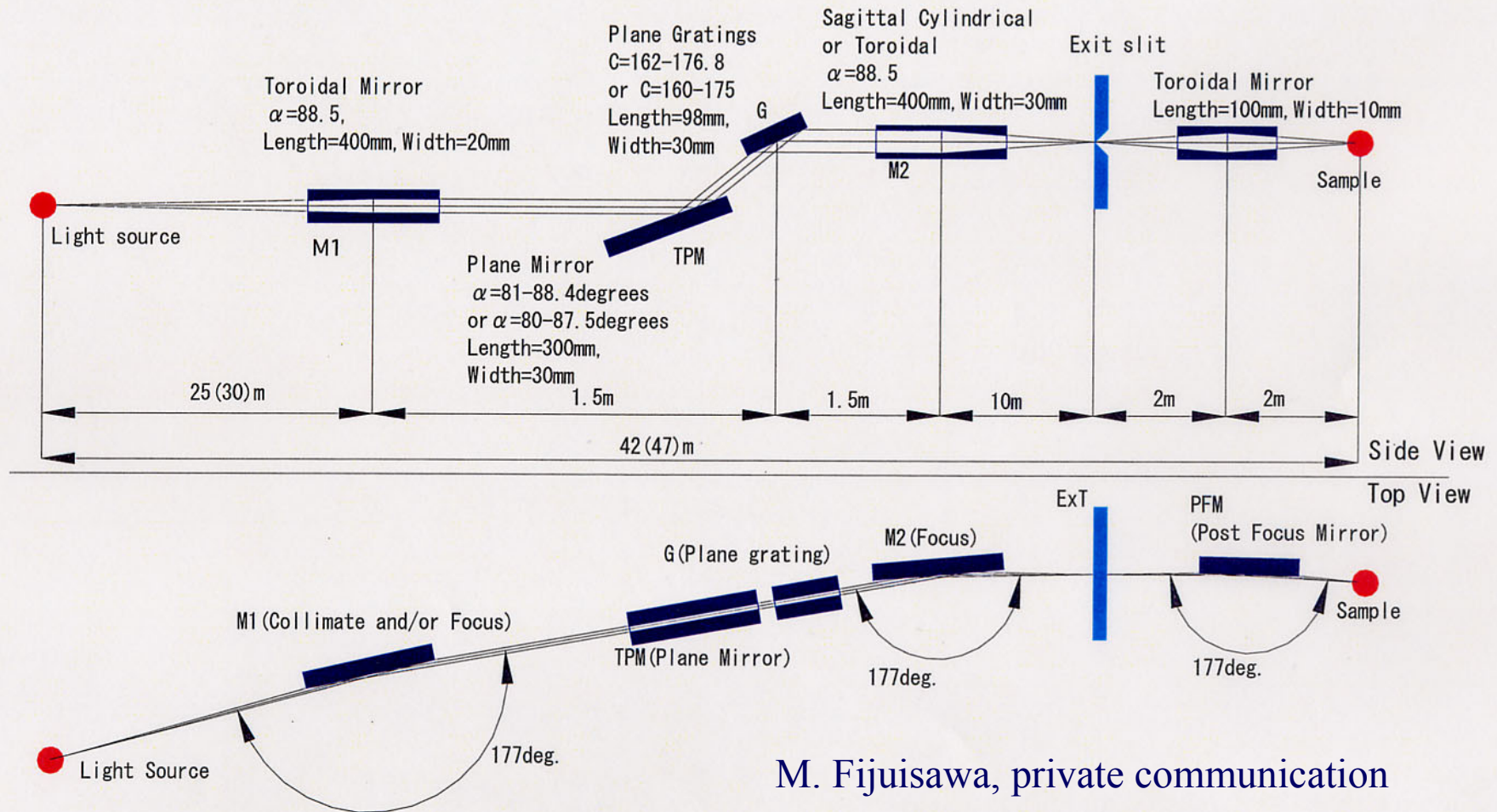
**C=2.25 high grating efficiency**





# Modified SX-700 on-blaze type monochromator

Padmore, RSI, 60, 1608 (1989); Petersen et al., RSI, 66, 1777 (1995).

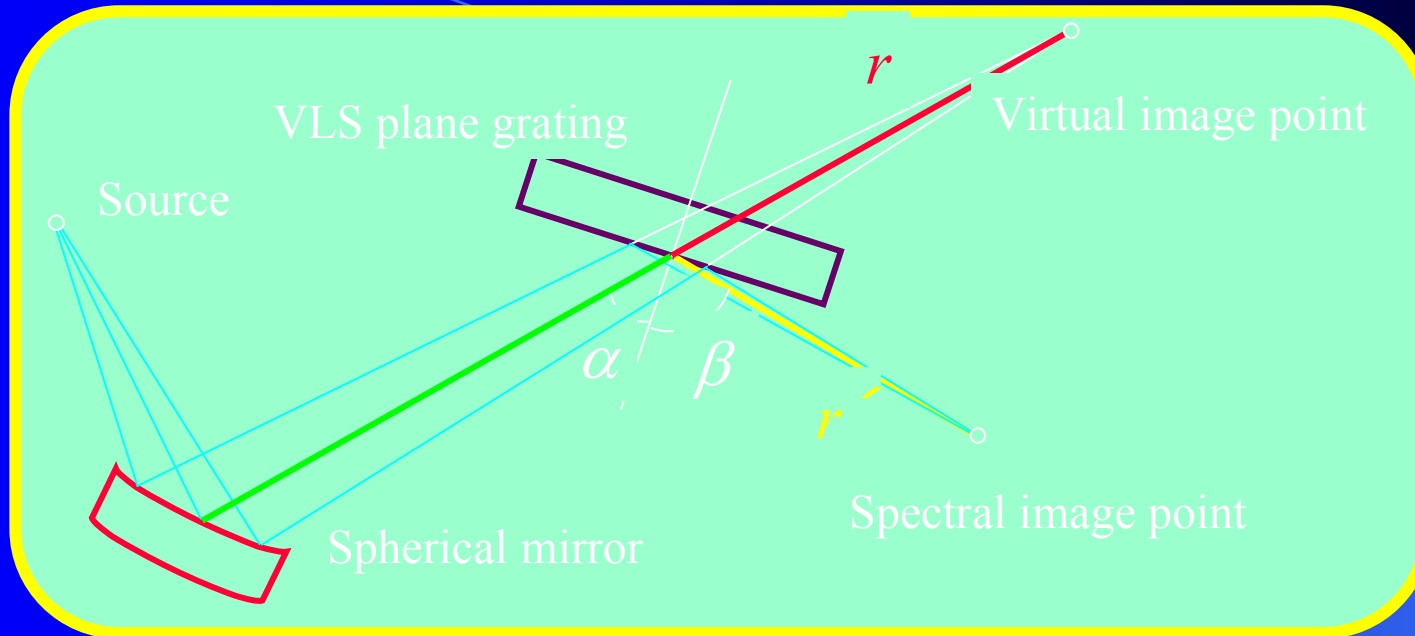


M. Fijuisawa, private communication



# Monk-Gillieson type monochromator

k. ito 51  
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Oct 21, 2002



Defocus term : 
$$F_{20} = \frac{\cos^2 \alpha}{r} - \frac{\cos \alpha}{R} + \frac{\cos^2 \beta}{r'} - \frac{\cos \beta}{R} + \frac{\Gamma m n_{20} \lambda}{\sigma}$$

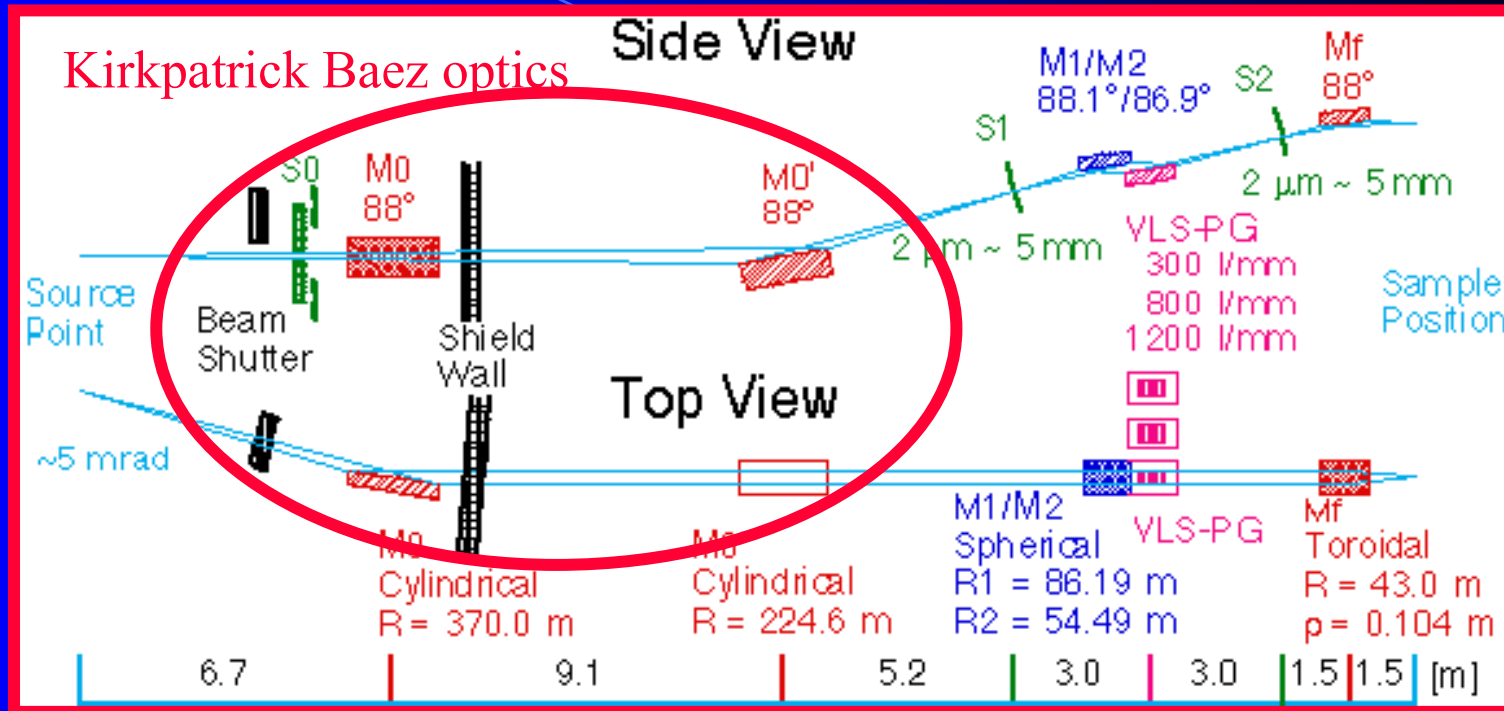
$R = \infty, \Gamma = 1$  and  $m = +1$

$$F_{20} = \frac{\cos^2 \alpha}{r} + \frac{\cos^2 \beta}{r'} + \frac{n_{20} \lambda}{\sigma}$$

$F_{30}$  and  $F_{40}$  can be taken into account, however, it is difficult to control.



# BL-11A (1)



$$r = -r' \quad F_{20} = 0 \text{ at zeroth order and } 500 \text{ eV}$$

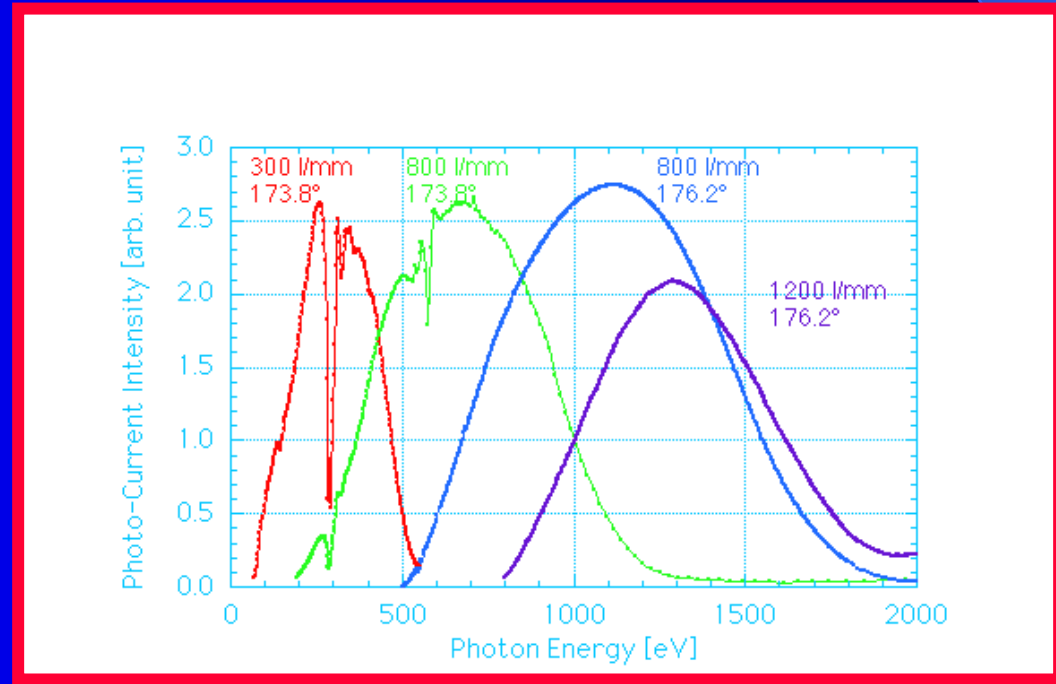
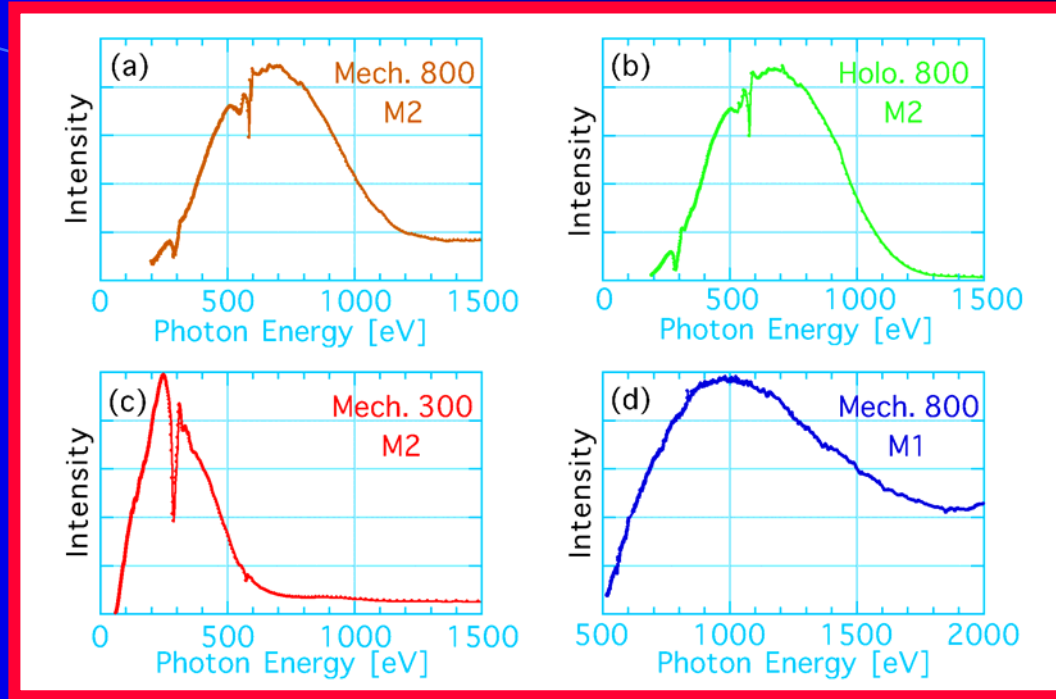


facilitate the optical adjustment

Amemiya, Kitajima, Ohta and Ito, JSR, **3**, 282 (1996); Kitajima, Amemiya, Yonamoto, Ohta, Kikuchi, Kosuge, Toyoshima and Ito, JSR, **5**, 729 (1998); Kitajima, Yonamoto, Amemiya, Tsukabayashi, Ohta and Ito, JESRP, **101-103**, 927 (1999).

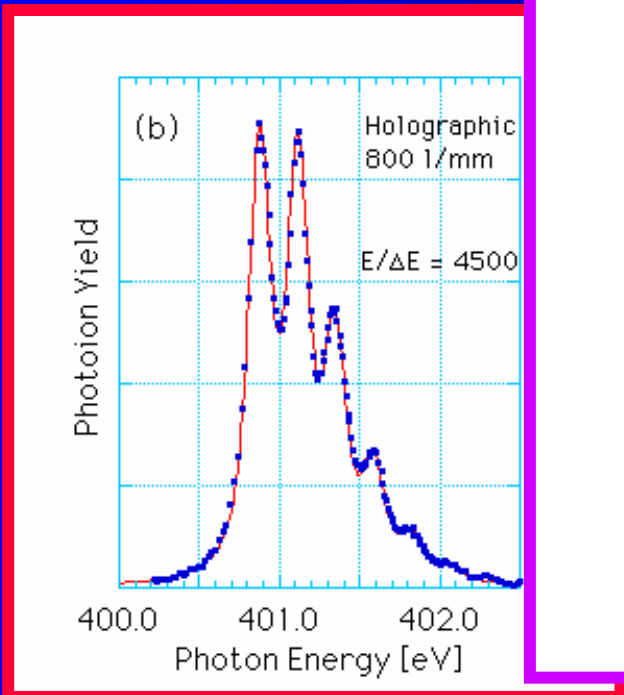
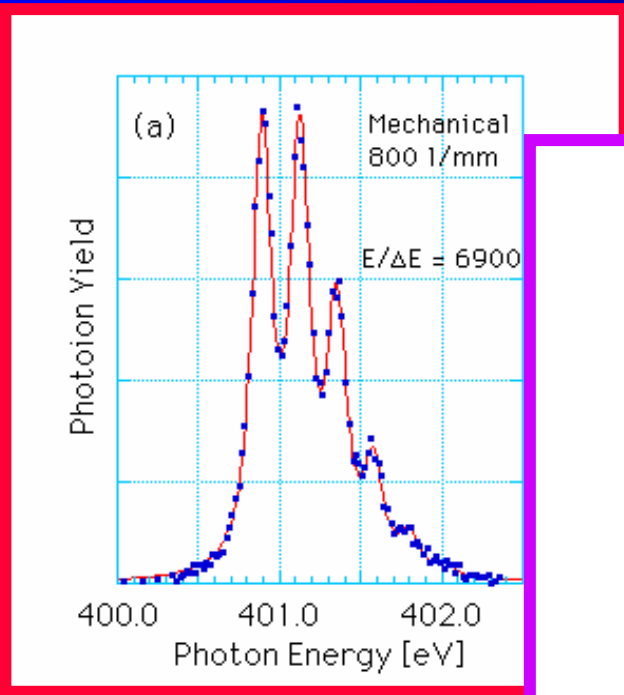


# BL-11A (2) transmission

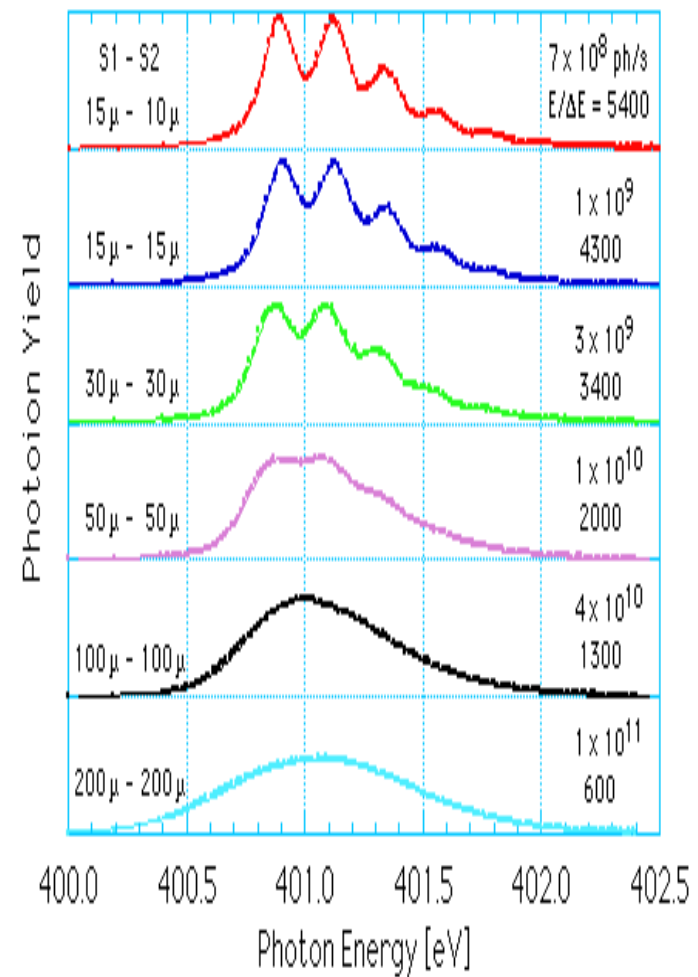




**BL-11A (3)**  
**N<sub>2</sub>**  
**absorption**



**slit widths vs. resolution/flux**





## **Other important points in the construction of VUV-SX beamlines (1)**

### **Hardware design**

Wavelength-scanning mechanism in monochromator: the precision of grating rotation is in the order of 1/100 sec. In-situ adjustment of optical elements, such as rotations and translation.

Enclosing the important parts in a temperature controlled booth.

### **Isolation of optical elements**

Optical elements or optical benches are well isolated from mechanical vibrations caused by ventilators, mechanical pumps, and so on. An ideal beamline is installed on a massive concrete base.



## **Other important points in the construction of VUV-SX beamlines (2)**

### **Installing beamlines**

Anticipate how to align beamlines in its design stage.  
Convenient tools for beamline alignment: theodolites and auto-levels with a telescope and a laser

### **Optical alignment**

VUV-SX photons are not visible!!!  
Beam position monitors such as fluorescent screens, photodiodes, and wire monitors are needed.





## Other important points in the construction of VUV-SX beamlines (3)

### Heat load on optical elements

#### Cooling system

For VUV-SX beamlines, direct cooling is difficult! In-Ga alloy is used for better thermal contact between mirrors/gratings and their water cooled holders. Entrance slits are often required to be cooled.

#### Thermal distortion

Selecting materials with small value for  $\alpha/\kappa$  as substrate of mirrors and gratings. SiC and Si are favored.

#### Simulation by ANSYS



## **Other important points in the construction of VUV-SX beamlines (4)**

### **Specification of mirrors and gratings**

Consult the makers about the micro roughness, slope error, and groove density, of optical elements, for which the beamline performance is strongly dependent.

### **Vacuum technology**

Vacuum technology is well established to obtain  $10^{-8}$  Pa ( $10^{-10}$  Torr).  
Clean vacuum is obtained by oil-free primary pumps.  
Contamination of optical elements.  
    cleaning with  $O_2$  discharge and UV-lamp.



## Other important points in the construction of VUV-SX beamlines (5)

### Control systems of beamline

**PC-base control system** for the monochromator including the interface boards for stepping motors and encoders

Beam channel?

**Beamline interlock system** to protect the experimentalists from radiation hazards and to avoid vacuum problems

### Characterization of beamlines

Photon flux, resolving power, purity of light,  
Reproducibility of the wavelength scanning  
Fluctuation of the beam position on the entrance slit



## **Other important points in the construction of VUV-SX beamlines (6)**

### **Safety**

#### **Radiation safety**

Gamma-ray stopper downstream of the first mirror, which might be installed inside a cage

#### **Flammable and toxic gases**

Gas duct with a gas detection system  
Exhaust steam from rotary pumps